



CONFLICTS OF INTEREST - CONTRACTS
ETHICS DISCLOSURE STATEMENT
 State Form 53345 (R2 / 6-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-10.5

INDIANA
 STATE ETHICS COMMISSION

JUL 14 2015

Mail to:
 OFFICE OF INSPECTOR GENERAL
 315 West Ohio Street, Room 104
 Indianapolis, IN 46202
 Telephone: (317) 232-3850
 E-mail scanned copy to: info@ig.in.gov

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

PART 1 - GENERAL INFORMATION

| | | |
|---|--|-----------------------------|
| Last name Griffin | First name Lowell | Middle initial K. |
| Address of office (number and street, city, state, and ZIP code) 202 W. Berry Street, Suite 820, Fort Wayne, IN 46802 | | |
| Title or position within agency Board Member | Name of agency Real Estate Appraisal Board LPA | |

PART 2 - CONTRACT

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

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| Business name of entity Indiana Department of Transportation | Name of entity contact person (first name and last name) Robert D. Cales |
|--|--|

This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or
- not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here.

(See following sections))

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.) Contract EDS #A249-1532Q212

This is an "on call" contract with INDOT. These contracts are issued to licensed appraisers who qualify for appraisal work with INDOT. Fees are based on a set fee schedule by INDOT. (See Attachment "A".) This contract was for a 2-year term from 09/09/2014 with one 2-year option to renew. Contract has been extended to 09/09/2018.

Description of the Financial Interest: (Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)

This contract was for a maximum amount of \$150,000. Request for proposals are made by INDOT for specific road projects. Attachment "B" shows that a total of \$16,505 has been invoiced under this contract to date. The codes shown on Attachment "B" are for individual road projects, with the fees being determined by the INDOT schedule included as Attachment "A."

ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES

PART 3 - AGENCY CERTIFICATION

Approval of appointing authority

Being the ~~Contract Administrator~~ Commissioner of Indiana Department of Transportation
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of Indiana Department of Transportation
(Name of the Contracting Agency)

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

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| Signature of Appointing Authority <u>Brandye Hendrickson</u> | Date signed (month, day, year) <u>7/11/16</u> |
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| Name of Appointing Authority Robert D. Gates <u>Brandye Hendrickson</u> |
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PART 4 - AFFIRMATION

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

| | |
|------------------------------------|---|
| Signature <u>Carol N. R. F.</u> | Date signed (month, day, year) <u>6-8-16</u> |
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ATTACHMENT A TO AGENCY CERTIFICATION

For Lowell K. Griffin

This Attachment A is made an essential part of the PART 3 – AGENCY CERTIFICATION (the “Agency Certification”) of Brandye Hendrickson, the Commissioner and Appointing Authority of the Indiana Department of Transportation (the “Appointing Authority”), with respect to the “Conflicts of Interest-Contracts Ethics Disclosure Statement” (the “Statement”) affirmed by General by Lowell K. Griffin dated June 8, 2016.

The contract covered by the Statement and the Agency Certification is an on-call contract, in which particular appraisal assignments are made as needed from time to time. The affirmation of the Appointing Authority in the Agency Certification is clarified to mean that no other state officer, employee, or special state appointee of the Indiana Department of Transportation is or was available to perform the particular appraisal assignments at the time of the assignments under this on-call contract as part of the regular duties of the state officer, employee, or special state appointee.

Brandye Hendrickson 7/11, 2016
Signature of Appointing Authority