

**INDIANA
STATE ETHICS COMMISSION**

MAR 03 2021



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 58666 (R / 10-16)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

Report Form

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Gilbert		Name (first) Dylan		Name (middle)	
Name of office or agency Indiana Department of Transportation- LaPorte District			Job title Project Inspector HT		
Address of office (number and street) 315 E. Boyd Blvd.			City LaPorte		ZIP code 46350
Office telephone number (219) 809-8360		Office e-mail address (required) DGilbert2@indot.IN.gov			
Describe the conflict of interest:					
Dylan Gilbert is a Project Inspector HT for the Indiana Department of Transportation (INDOT)- LaPorte District.					
Dylan Gilbert has entered employment negotiations with Traffic Control Specialists Inc. (TCS). TCS has a business relationship with INDOT, including active contracts with the Department.					
Dylan Gilbert's employment negotiations with TCS created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.					
Dylan Gilbert is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) in his position as Project Inspector HT.					
Dylan Gilbert is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT.					
This disclosure was provided to Mr. Gilbert's appointing authority, Commissioner Joe McGuinness, on March 4, 2021.					
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).					
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.					

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Dylan Gilbert from doing work with TCS

in his capacity as an INDOT employee. Said screen prohibits Mr. Gilbert from undertaking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving TCS or in which Parsons has an interest. Dylan Gilbert is further screened from assisting any future employer, including TCS, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on March 4, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Dylan Gilbert

Date signed (month, day, year)

March 4, 2021

Printed full name of state officer, employee or special state appointee

Dylan Gilbert

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Christopher B. Serak

Date signed (month, day, year)

March 4, 2021

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR DYLAN GILBERT

March 4, 2021

I. RECITALS

WHEREAS, Dylan Gilbert is a Project Inspector HT for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Dylan Gilbert has accepted a position with Traffic Control Specialists Inc. (“TCS”); and

WHEREAS, TCS has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Inspector HT for INDOT, it is possible that Dylan Gilbert could be assigned to work with TCS or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Dylan Gilbert’s employment negotiations with TCS created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Dylan Gilbert is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including TCS, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Dylan Gilbert, a Project Inspector HT, for his remaining tenure with the Department, or until he discontinues employment negotiations with Traffic Control Specialists Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Dylan Gilbert must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

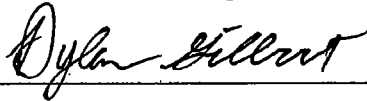
II. CONFLICT OF INTEREST SCREEN

1. Dylan Gilbert shall not participate in any decision or vote, or any matter related to such decision or vote, in which TCS has a financial interest.
2. Dylan Gilbert should be screened from participating in any present or future contract or other matter involving TCS.
3. Dylan Gilbert shall not assist any future employers, including TCS, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Traffic Control Specialists Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Traffic Control Specialists Inc., whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 4th day of March 2021, by:



Dylan Gilbert, Project Inspector HT
Indiana Department of Transportation- Greenfield District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Dylan Gilbert)

From: Serak, Chris (INDOT)
Sent: Wednesday, March 3, 2021 12:29 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Gilbert, Dylan C <DGilbert2@indot.IN.gov>; Kennedy, Heather <HKennedy@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Dylan Gilbert)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Dylan Gilbert. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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