

INDIANA
STATE ETHICS COMMISSION

MAR 16 2021



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55600 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Gearld	Name (first) Robert	Name (middle)
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Construction Project Inspector
Address of office (number and street) 41 West 300 North		City Crawfordsville
		ZIP code 47933
Office telephone number (765) 361-5215	Office e-mail address (required) rgearld@indot.in.gov	

Describe the conflict of interest:
Robert Gearld is a Contruction Project Inspector for the Indiana Department of Transportation (INDOT)- Crawfordsville District.

Robert Gearld has entered employment negotiations with HWC Engineering ("HWC"). HWC has a business relationship with INDOT, including active contracts with the Department.

Robert Gearld's employment negotiations with HWC created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Robert Gearld is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) in his position as Construction Project Inspector.

Robert Gearld is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Mr. Gearld's appointing authority, Commissioner Joe McGuinness, on March 16, 2021.

Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Robert Gearld from doing work with HWC in his capacity as an INDOT employee. Said screen prohibits Mr. Gearld from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HWC or in which HWC has an interest. Robert Gearld is further screened from assisting any future employer, including HWC, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on March 16, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) March 16, 2021
Printed full name of state officer, employee or special state appointee Robert Gearld	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer 	Date signed (month, day, year) March 16, 2021
Printed full name of ethics officer Christopher B. Serak	

CONFLICT OF INTEREST SCREEN FOR ROBERT GEARLD

March 12, 2021

I. RECITALS

WHEREAS, Robert Gearld is a Construction Project Inspector for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Robert Gearld has accepted a position with HWC Engineering (“HWC”); and

WHEREAS, HWC has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Construction Project Inspector for INDOT, it is possible that Robert Gearld could be assigned to work with HWC or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Robert Gearld’s employment negotiations with HWC created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Robert Gearld is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including HWC, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Robert Gearld, a Construction Project Inspector, for his remaining tenure with the Department, or until he discontinues employment negotiations with HWC Engineering, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Robert Gearld must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

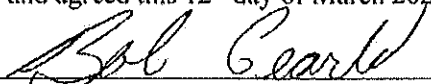
II. CONFLICT OF INTEREST SCREEN

1. Robert Gearld shall not participate in any decision or vote, or any matter related to such decision or vote, in which HWC has a financial interest.
2. Robert Gearld should be screened from participating in any present or future contract or other matter involving HWC.
3. Robert Gearld shall not assist any future employers, including HWC, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HWC Engineering has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HWC Engineering, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 12th day of March 2021, by:



Robert Gearld, Construction Project Inspector
Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Robert Gearld)

From: Serak, Chris (INDOT)
Sent: Tuesday, March 16, 2021 9:56 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Gearld, Robert <RGearld@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Robert Gearld)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Robert Gearld. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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