



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55960 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

RECEIVED

JAN 15 2021

Indiana Office of Inspector General

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Gentry	Name (first) Christopher ("Chris")	Name (middle)
Name of office or agency Indiana Department of Transportation- Vincennes District		Job title Technical Services Director
Address of office (number and street) 3650 Old US Hwy 41		City Vincennes
Office telephone number (812) 895-7372		ZIP code 47591
Office e-mail address (required) cgentry@indot.in.gov		
Describe the conflict of interest: Christopher Gentry is Technical Services Director for the Indiana Department of Transportation (INDOT)- Vincennes District. Christopher Gentry has entered employment negotiations with RQAW Corporation ("RQAW"). RQAW has a business relationship with INDOT, including active contracts. Mr. Gentry's employment negotiations with RQAW created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict. Chris Gentry is not subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to RQAW. Mr. Gentry is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT. This disclosure was provided to Mr. Gentry's appointing authority, Commissioner Joe McGuinness, on January 15, 2021. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.		

INDIANA
STATE ETHICS COMMISSION

JAN 15 2021

FILED

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

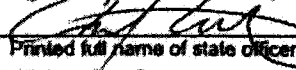
A formal screen was executed with INDOT ethics officer preventing Chris Gentry from doing work with RQAW Corporation in his capacity as an INDOT employee. Said screen prohibits Mr. Gentry from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving RQAW, or in which RQAW has an interest. Chris Gentry is further screened from assisting any future employer, including RQAW, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on January 15, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

January 15, 2021

Printed full name of state officer, employee or special state appointee

Christopher Gentry

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

January 15, 2021

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR CHRISTOPHER GENTRY

January 15, 2021

I. RECITALS

WHEREAS, Christopher Gentry is Technical Services Director for the Indiana Department of Transportation- Vincennes District ("INDOT" or "Department"); and

WHEREAS, Christopher Gentry is negotiating a position with RQAW Corporation ("RQAW"); and

WHEREAS, RQAW has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as Technical Services Director for Vincennes, it is possible that Christopher Gentry could be assigned to work with RQAW or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Christopher Gentry's employment negotiations with RQAW created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Christopher Gentry is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including RQAW, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Christopher Gentry, Technical Services Director for INDOT- Vincennes District, for his remaining tenure with the Department, or until he discontinues employment negotiations with RQAW Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Christopher Gentry must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

II. CONFLICT OF INTEREST SCREEN

1. Christopher Gentry shall not participate in any decision or vote, or any matter related to such decision or vote, in which RQAW has a financial interest.
2. Christopher Gentry shall be screened from participating in any present or future contract or other matter involving RQAW.
3. Christopher Gentry shall not assist any future employers, including RQAW, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which RQAW Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with RQAW, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 15th day of January 2021, by:



Christopher Gentry, Technical Services Director
Indiana Department of Transportation- Vincennes District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Screen & Disclosure for Christopher Gentry

From: Serak, Chris (INDOT)
Sent: Friday, January 15, 2021 1:22 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Gentry, Christopher <cgentry@indot.in.gov>
Subject: Executed COI Screen & Disclosure for Christopher Gentry

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Chris Gentry. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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