

JUL 17 2018

FILED



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) DeLong	Name (first) Andrew	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Project Supervisor	
Address of office (number and street) 5333 Hatfield Road		City Ft Wayne	ZIP code 46808
Office telephone number ( 260 ) 205-3144	Office e-mail address (required) adelong@indot.in.gov		

Describe the conflict of interest:

I have been offered an employment position with Three Rivers Barricade & Equipment (TRBE), a company that has an ongoing business relationship with INDOT as a subcontractor. As subcontractor under contract B-39707, TRBE sets up traffic controls on the U.S. 27 Bridge over St. Mary's River in Fort Wayne. As Project Supervisor, I inspect TRBE's work for INDOT to ensure it is in compliance with INDOT standard drawings, Traffic Control Plan Sheets, and the Manual on Uniform Traffic Control Devices. Therefore, I am in a position to potentially participate in decisions/votes in which TRBE may have a financial interest. Because I have commenced employment negotiations with TRBE, I can no longer participate in any decision or vote, or matter related to such decision or vote, in which TRBE has a financial interest. My participation would represent a potential conflict of interest under IC 4-2-6-9.

On July 13, 2018 the Inspector General's Office issued an informal opinion at my request instructing me as to the potential conflict outlined herein, and directing me to submit this notice. This notice is being submitted less than 7 days after learning of my potential conflict of interest by virtue of the IG's informal opinion dated July 13, 2018.

On July 17, 2018 a fully executed copy of this disclosure was provided to the commissioner for INDOT as the employee's appointing authority. Evidence that this disclosure was sent to the commissioner is attached as Exhibit A in accordance with IC 4-2-6-9(b)(2)(D).

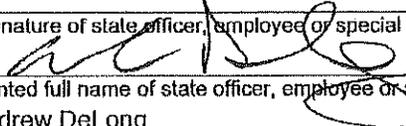
Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

I have signed a formal screen with INDOT, prohibiting my participation in any decision or vote in which TRBE has a financial interest. The screen states that I shall not participate in any decision or vote in which TRBE has a financial interest, including any vote or decision related to Contract B-39707. The screen further states that I shall not participate in decisions or votes, or matters related to such decisions and votes, with regard to TRBE's compliance with controlling rules and regulations in setting up traffic controls on the U.S. 27 Bridge over St. Mary's River in Ft. Wayne, Indiana. Finally, the screen prevents me from serving as Project Supervisor for any existing or future INDOT contract or subcontract awarded to TRBE.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

7-16-2018

Printed full name of state officer, employee or special state appointee

Andrew DeLong

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

7/17/18

Printed full name of ethics officer

Christopher B. Serak

## Serak, Chris (INDOT)

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**From:** Serak, Chris (INDOT)  
**Sent:** Tuesday, July 17, 2018 1:53 PM  
**To:** McGuinness, Joe  
**Cc:** Grand, Alison; DeLong, Andrew  
**Subject:** Employee Conflict of Interest Disclosure  
**Attachments:** CI Disclosure and Screen for Andrew DeLong.pdf

Joe-

Mr. Andrew DeLong, Project Supervisor in the Ft. Wayne District, has engaged in employment negotiations with INDOT vendor Three Rivers Barricade & Equipment. Mr. DeLong has supervised a subcontract with TRBE and in turn requested an informal IG opinion asking if any post-employment restrictions would apply to his taking a job with TRBE. The IG concluded that Mr. DeLong's employment with TRBE would not be subject to post-employment restrictions, but his negotiation with the firm while employed as an INDOT Project Supervisor presents a potential decisions and voting conflict of interest. The IG opinion directed Mr. DeLong to execute an internal screen and file a conflict of interest disclosure pursuant to IC 4-2-6-9. The executed screen and disclosure are attached to this email, which is intended to serve as notice to the appointing authority under IC 4-2-6-9(b)(2)(D).

Mr. DeLong has also been advised of the particular matter restriction and will not work on any contracts that he worked on for INDOT after he leaves state employment.

Please let me know if you have any questions. Thank you.

Chris

**Christopher B. Serak,**  
*Director of Prequalification*  
*Ethics Officer*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
Cell: (317) 440-9868  
Email: [chserak@indot.in.gov](mailto:chserak@indot.in.gov)

Ex A

**Croft, Celeste**

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**From:** Serak, Chris (INDOT)  
**Sent:** Tuesday, July 17, 2018 1:59 PM  
**To:** IG Info  
**Cc:** DeLong, Andrew  
**Subject:** CI- Decisions and Voting Disclosure and Proof of Appointing Authority Notice  
**Attachments:** Executed CI Disclosure w Appt Auth Notice (A. DeLong).pdf

Attached for INDOT employee Mr. Andrew DeLong. Thank you.

Chris

**Christopher B. Serak,**  
*Director of Prequalification*  
*Ethics Officer*  
**Indiana Department of Transportation**  
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