

JAN 09 2020



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Crutchfield	Name (first) Brett	Name (middle)
Name of office or agency Indiana Department of Transportation- Crawfordsville District	Job title Project Manager	
Address of office (number and street) 41 West 300 North	City Crawfordsville	ZIP code 47933
Office telephone number (765) 361-5621	Office e-mail address (required) BCrutchfield@indot.IN.gov	
Describe the conflict of interest: Brett Crutchfield is a Project Manager for the Indiana Department of Transportation (INDOT)- Crawfordsville District. Brett Crutchfield has accepted a position with USI Consultants, Inc. ("USI"). USI has a business relationship with INDOT, including ongoing contracts with the Department. As such, Brett Crutchfield's employment negotiations with USI created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict. Brett Crutchfield is not otherwise subject to the mandatory cooling off period under IC 4-2-6-11(b). This disclosure was provided to Brett Crutchfield's appointing authority, INDOT Commissioner Joe McGuinness, on January 9, 2020. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by virtue of the advise of the below signed ethics officer.		

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

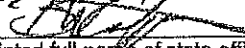
A formal screen was executed with INDOT's ethics officer preventing Brett Crutchfield from doing work with USI in his capacity as an INDOT employee. Said screen prohibits Brett Crutchfield from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving USI or in which USI has an interest.

The screen was executed on January 9, 2020, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

January 9, 2020

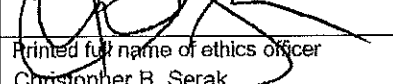
Printed full name of state officer, employee or special state appointee

Brett Crutchfield

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

January 9, 2020

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR BRETT CRUTCHFIELD

January 9, 2020

I. RECITALS

WHEREAS, Brett Crutchfield is a Project Manager for the Indiana Department of Transportation, Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Brett Crutchfield has entered employment negotiations with USI Consultants, Inc. ("USI"); and

WHEREAS, USI has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Manager for INDOT, it is possible that Brett Crutchfield could be assigned to work with USI, or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Brett Crutchfield's employment negotiations with USI created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen.

NOW THEREFORE, Brett Crutchfield, a Project Manager for INDOT, Crawfordsville District, for his remaining tenure with the Department, or until he discontinues employment negotiations with USI Consultants, Inc. ("USI"), agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

II. CONFLICT OF INTEREST SCREEN

1. Brett Crutchfield shall not participate in any decision or vote, or any matter related to such decision or vote, in which USI has a financial interest.
2. Brett Crutchfield should be screened from participating in any present or future contract or other matter involving USI.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which USI Consultants, Inc. has a financial interest. I understand that the terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with USI, whichever occurs first. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 9th day of January, 2020, by:



Brett Crutchfield, Project Manager
Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

Subject: RE: Executed COI Disclosure and Screen (Brett Crutchfield)

From: Serak, Chris (INDOT)

Sent: Thursday, January 9, 2020 11:33 AM

To: McGuinness, Joe <JMcGuinness@indot.IN.gov>

Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Crutchfield, Brett <BCrutchfield@indot.IN.gov>

Subject: Executed COI Disclosure and Screen (Brett Crutchfield)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Brett Crutchfield. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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