

AUG 03 2017

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (<i>last</i>) Chen		Name (<i>first</i>) Jie		Name (<i>middle</i>)	
Name of office or agency Indiana Department of Environmental Management			Job title Board Member, Recycling Market Development Bd.		
Address of office (<i>number and street</i>) IGCN 100 North Senate Ave.			City Indianapolis, IN		ZIP code 46204
Office telephone number (317) 274-5918		Office e-mail address (<i>required</i>) jchen3@iupui.edu			
Describe the conflict of interest:					
<p>I am Professor and Chair of the Department of Mechanical Engineering and Director of the DOE Industrial Assessment Center at the Purdue School of Engineering and Technology at Indiana University Purdue University Indianapolis ("IUPUI"). I serve as a Board Member of the Recycling Market Development Board which approves funding for eligible projects focusing on reuse, reduction and recycling. The Board is scheduled to make its next round of funding decisions on August 3, 2017. One of the proposals is from IUPUI. Because I am employed at IUPUI, I will recuse myself from discussing and voting on the proposed IUPUI project.</p> <p>In accordance with the conflict of interest statute, I have notified and sought the advice of the Indiana Department of Environmental Management's ("IDEM") Ethics Officer. She has in turn notified the Commissioner of IDEM on my behalf.</p>					

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

I am not to discuss IUPUI's proposed project with any of the RMD Board Members and I will recuse myself from voting on the project.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed *(month, day, year)*

08/02/2017

Printed full name of state officer, employee or special state appointee

Jie Chen

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed *(month, day, year)*

Kathleen Mills

8/3/17

Printed full name of ethics officer

Kathleen Mills

MILLS, KATHLEEN

From: MILLS, KATHLEEN
Sent: Thursday, August 03, 2017 2:52 PM
To: PIGOTT, BRUNO
Subject: Conflict of interest notifications
Attachments: Ethics Disclosure conflict of interest Guerin.pdf; Ethics Disclosure conflict of interest Burrow.pdf; Ethics Conflict of interest Gratz.pdf

Commissioner,

Four members of the Recycling Market Development Board determined that they had conflicts of interest regarding some of the applicants requesting funding from the Board.

Each of the four members:

Mr. Gratz, Dr. Chen, Mr. Burrows and Mr. Guerin did not participate in board discussion or vote on matters in which they perceived themselves to have a conflict of interest. I am making this notification to you on their behalf to comply with Indiana Statute 4-2-6-9. I have attached the disclosure statements of Mr. Gratz, Mr. Burrows and Mr. Guerin to this email to provide you with the specific information. Dr. Chen's conflict of interest was with the application of his employer IUPUI. He contacted me prior to the RMDB meeting. However, I do not yet have his signed disclosure statement with the State Ethics Commission to share with you.

MILLS, KATHLEEN

From: MILLS, KATHLEEN
Sent: Thursday, August 03, 2017 3:31 PM
To: PIGOTT, BRUNO
Subject: Conflict of interest notification
Attachments: Ethics Disclosure Conflict-of-interest-Chen.pdf

RMDB member Dr. Chen has provided me with his signed conflict of interest disclosure statement. I have attached it for your records.