

DEC 04 2019



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST - DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Casey	Name (first) Jeremy	Name (middle)
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Project Supervisor
Address of office (number and street) 41 West 300 North		City Crawfordsville
		ZIP code 47933
Office telephone number (765) 838-0670	Office e-mail address (required) JCasey@indot.in.gov	
Describe the conflict of interest: Jeremy Casey is a Project Supervisor for the Indiana Department of Transportation (INDOT)- Crawfordsville District. Jeremy Casey has accepted a position with Calumet Civil Contractors, Inc. ("Calumet"). Calumet has a business relationship with INDOT, including ongoing contracts with the Department. As such, Jeremy Casey's employment negotiations with Calumet created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict. Jeremy Casey is not otherwise subject to the mandatory cooling off period under IC 4-2-6-11(b). This disclosure was provided to Jeremy Casey's appointing authority, INDOT Commissioner Joe McGuinness, on December 2, 2019. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by virtue of the advise of the below signed ethics officer.		

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Jeremy Casey from doing work with Calumet in his capacity as an INDOT employee. Said screen prohibits Jeremy Casey from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Calumet or in which Calumet has an interest.

The screen was executed on December 2, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

December 2, 2019

Printed full name of state officer, employee or special state appointee

Jeremy Casey

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

December 2, 2019

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR JEREMY CASEY

December 2, 2019

I. RECITALS

WHEREAS, Jeremy Casey is a Project Supervisor for the Indiana Department of Transportation, Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Jeremy Casey has entered employment negotiations with Calumet Civil Contractors, Inc. ("Calumet"); and

WHEREAS, Calumet has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Supervisor for INDOT, it is possible that Jeremy Casey could be assigned to work with Calumet, or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Jeremy Casey's employment negotiations with Calumet created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen.

NOW THEREFORE, Jeremy Casey, a Project Supervisor for INDOT, Crawfordsville District, for his remaining tenure with the Department, or until he discontinues employment negotiations with Calumet Civil Contractors, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

II. CONFLICT OF INTEREST SCREEN

1. Jeremy Casey shall not participate in any decision or vote, or any matter related to such decision or vote, in which Calumet has a financial interest.
2. Jeremy Casey should be screened from participating in any present or future contract or other matter involving Calumet.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Calumet Civil Contractors, Inc. has a financial interest. I understand that the terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Calumet, whichever occurs first. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 2nd day of December, 2019, by:



Jeremy Casey, Project Supervisor

Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

To: Cooper, Jennifer
Subject: RE: Executed COI Disclosure and Internal Screen (Jeremy Casey)

From: Serak, Chris (INDOT)
Sent: Wednesday, December 4, 2019 2:00 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Casey, Jeremy <JCasey@indot.IN.gov>
Subject: Executed COI Disclosure and Internal Screen (Jeremy Casey)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Jeremy Casey. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
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