

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

Mark Tidd, INDOT's Ethics Officer, has established the following screen for me with the concurrence of the INDOT

Commissioner, my supervisor, Joel Myers, and Jeff Clanton, INDOT's Consultant Contracting Manager.

In the event that any matter involves contract negotiations or otherwise involving potential contracts or any other matter that could have a direct financial impact on WSP, the following procedures will be followed:

1. The matter will immediately be referred to my Supervisor, Joel Myers, for handling; and
2. Mr. Myers will be solely responsible for handling the matter, including all communications and all decision-making associated with the matter. If Mr. Myers is unable to handle a particular matter that would be covered by this screen, it will be the obligation of Mr. Myers to find someone else to handle the matter and he will assign such matter without discussing it with me.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Dale M Carter

Date signed (month, day, year)

12/4/17

Printed full name of state officer, employee or special state appointee

Dale Carter

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Mark Tidd

Date signed (month, day, year)

12/4/17

Printed full name of ethics officer

Mark Tidd

Croft, Celeste

From: Tidd, Mark
Sent: Monday, December 04, 2017 3:49 PM
To: IG Info; Cooper, Jennifer
Subject: FW: Conflict of Interest Disclosure

12/4/17 – Below is the email sent to the INDOT Commissioner that relates to Dale Carter’s Ethics Disclosure Statement – Decisions and Voting, which was filed today with your office.

If you have any questions, please let me know.

Thanks,

Mark J. Tidd

**Prequalification Director and Ethics Officer
Indiana Department of Transportation
100 North Senate Avenue - Room N730
Indianapolis, IN 46204
317-232-5411
MTidd1@indot.in.gov**

From: Carter, Dale
Sent: Monday, December 04, 2017 2:27 PM
To: McGuinness, Joe
Cc: Tidd, Mark
Subject: Conflict of Interest Disclosure

Mr. McGuinness,

Pursuant to IC 4-2-6-9(b), I am providing you with written confirmation of a potential Conflicts of Interest situation. This potential Conflicts of Interest is related to my marriage to Beth Carter, who is an employee of WSP USA, Inc., a consultant who does business with INDOT. I have submitted a written disclosure statement to Mark Tidd, INDOT Ethics Officer, and a screen is being implemented between me and any contract negotiations between INDOT and WSP. Please contact me or Mark Tidd if you have any questions related to this situation.

Thank you.

-Dale

Dale Carter

Senior Contract Engineer

100 N. Senate Ave, IGCN Room N725

Indianapolis, IN 46204

Office: (317) 232-5521

Email: dcarter@indot.in.gov

