



INDIANA DEPARTMENT OF TRANSPORTATION

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Indianapolis, Indiana 46204

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Eric Holcomb, Governor
Joe McGuinness, Commissioner

INDIANA
STATE ETHICS COMMISSION

FEB 26 2018

FILED

February 26, 2018

Mr. James Clevenger, Chair
Indiana State Ethics Commission
315 West Ohio Street, Room 104
Indianapolis, Indiana 46202

RE: Amendment of Ethics Disclosure Statement – Dale Carter

Dear Mr. Clevenger:

Joe McGuinness, the appointing authority of the Indiana State Department of Transportation (“INDOT”), has designated authority to me as the agency’s ethics officer to assist individual INDOT employees when consistent with the public interest. INDOT employee Dale Carter filed his Ethics Disclosure Statement: Conflict of Interest-Decisions and Voting with the State Ethics Commission on December 4, 2017 because of his wife working for consultant WSP USA, Inc., formerly known as Parsons Brinkerhoff, Inc. (“WSP”), which has a business relationship with INDOT.

I am hereby providing notice on behalf of Dale Carter that his wife has changed employment from consultant WPS to consultant Lochmueller Group, Inc. Lochmueller Group, Inc. also has a business relationship with INDOT. Each reference in the June 30, 2017 Ethics Disclosure Statement shall now be construed instead as a reference to Lochmueller Group, Inc. All other information in this Ethics Disclosure Statement shall remain unchanged.

If you need additional information or have any questions about this letter, please feel free to contact me at 317/232-5411 or at mtidd1@indot.in.gov.

Sincerely,

Mark J. Tidd
Prequalification Director and Ethics Officer

cc: Jennifer Cooper, Indiana State Ethics Commission Director
Alison J. Grand, Deputy Commissioner and Chief Legal Officer, INDOT

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Mark Tidd, INDOT's Ethics Officer, has established the following screen for me with the concurrence of the INDOT Commissioner, my supervisor, Joel Myers, and Jeff Clanton, INDOT's Consultant Contracting Manager.

In the event that any matter involves contract negotiations or otherwise involving potential contracts or any other matter that could have a direct financial impact on WSP, the following procedures will be followed:

1. The matter will immediately be referred to my Supervisor, Joel Myers, for handling; and
2. Mr. Myers will be solely responsible for handling the matter, including all communications and all decision-making associated with the matter. If Mr. Myers is unable to handle a particular matter that would be covered by this screen, it will be the obligation of Mr. Myers to find someone else to handle the matter and he will assign such matter without discussing it with me.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Dale M Carter

Date signed (month, day, year)

12/4/17

Printed full name of state officer, employee or special state appointee

Dale Carter

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

M. Tidd

Date signed (month, day, year)

12/4/17

Printed full name of ethics officer

Mark Tidd