

JAN 27 2021

FILED



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55880 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Brown	Name (first) Jason	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Right of Way Services Advisor
Address of office (number and street) 100 N. Senate Avenue, Room N758-RE		City Indianapolis
Office telephone number ( 812 ) 895-7371		ZIP code 46204
Office e-mail address (required) jabrown@indot.in.gov		

Describe the conflict of interest:

Jason Brown is a Right of Way Advisor for the Indiana Department of Transportation (INDOT).

Jason Brown has entered into employment negotiations with Stephen J. Christian Associates (SJCA).

SJCA has a business relationship with INDOT, including active contracts.

Jason Brown's employment negotiations with SJCA created a potential decisions and voting conflict of interest under IC 4-2-6-9.

This disclosure is therefore being filed in anticipation of that potential conflict.

Jason Brown is not subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to SJCA.

Jason Brown is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Jason Brown's appointing authority, Commissioner Joe McGuinness on January 27, 2021.

Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven (7) days of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

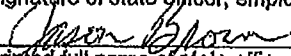
A formal screen was executed with INDOT's ethics officer preventing Jason Brown from doing work with SJCA in his capacity as an INDOT employee. Said screen prohibits Mr. Brown from undertaking any of his official duties of otherwise participating in any decision or vote, or matter related to such decision or vote, involving SJCA or in which SJCA has an interest. Jason Brown is further screened from assisting any future employer, including SJCA, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on January 27, 2021, copy of which was sent to Commissioner McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

January 27, 2021

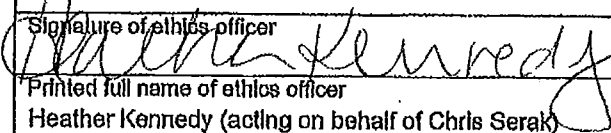
Printed full name of state officer, employee or special state appointee

Jason Brown

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

January 27, 2021

Printed full name of ethics officer

Heather Kennedy (acting on behalf of Chris Serak)

**CONFLICT OF INTEREST SCREEN FOR Jason Brown**

January 27, 2021

**I. RECITALS**

WHEREAS, Jason Brown is Right of Way Services Advisor for the Indiana Department of Transportation ("INDOT" or "Department"); and

WHEREAS, Jason Brown has accepted a position with Stephen J. Christian and Associates ("SJCA"); and

WHEREAS, SJCA has a business relationship with INDOT, including ongoing contracts with the Department; and WHEREAS, as Right of Way Advisor for INDOT, it is possible that Jason Brown could be assigned to work with SJCA or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Jason Brown's employment negotiations with SCJA created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Jason Brown is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including SCJA, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Jason Brown, Right of Way Services Advisor for INDOT, for his remaining tenure with the Department, or until he discontinues employment negotiations with SJCA, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Jason Brown must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

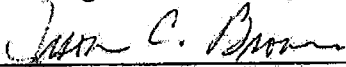
**II. CONFLICT OF INTEREST SCREEN**

1. Jason Brown shall not participate in any decision or vote, or any matter related to such decision or vote, in which SJCA has a financial interest.
2. Jason Brown should be screened from participating in any present or future contract or other matter involving SJCA.
3. Jason Brown shall not assist any future employers, including SJCA, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which SJCA has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with SJCA., whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 27th day of January 2021, by:



Jason Brown, Right of Way Services Advisor  
Indiana Department of Transportation



## Baker, Nathaniel P

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**From:** Kennedy, Heather  
**Sent:** Wednesday, January 27, 2021 1:25 PM  
**To:** McGuinness, Joe  
**Cc:** Serak, Chris (INDOT); Brown, Jason  
**Subject:** Executed COI Screen and Disclosure Jason Brown  
**Attachments:** Executed Screen Jason Brown.pdf; Executed Disclosure Jason Brown.pdf

Hello Joe,

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Jason Brown. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thanks,

**Heather Kennedy**  
*Teammate*  
Indiana Transportation Team

**Chief Legal Counsel - Deputy Commissioner**

100 N. Senate Avenue  
Indianapolis, Indiana 46204

**Office:** (317) 233-3699

**Cell:** (317) 504-5267

**Email:** [hkennedy@indot.in.gov](mailto:hkennedy@indot.in.gov)



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