



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

AUG 8 2018

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Brindle	Name (first) Matthew	Name (middle) David
Name of office or agency Indiana Department of Environmental Management - OLQ		Job title Geologist 2
Address of office (number and street) 100 North Senate Avenue, IGCN 1101		City Indianapolis
Office telephone number (317) 223-7082		ZIP code 46204-2251
Office e-mail address (required) mbrindle@idem.in.gov		
Describe the conflict of interest: I applied to ATC Group Services LLC (ATC) on August 2, 2018. ATC is an environmental consulting service that has clients/facilities of which I oversee for regulatory and permitting related work. The specific facilities affected include Oak Ridge RDF, Oak Ridge RDF East, and Earthmovers landfill. The position i applied to is staff geologist. The staff geologist position could work directly with any of these facilities. I immediately informed the IDEM Ethics Officer to inquire the proper course of action. I was informed of the screening process and it has been initiated. I have had minimal direct contact with ATC during my 11 month employment with IDEM and provided a list of all known correspondence between myself and ATC to the ethics officer.		

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
Described in the attached Ethics Screening Protocol Document.

Dotted lines for describing the screen.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

Matthew David Brindle

8/8/2018

Printed full name of state officer, employee or special state appointee

Matthew David Brindle

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

Kathleen Mills

8/8/2018

Printed full name of ethics officer

Kathleen Mills

**Protocol
to Screen IDEM Employee Matthew Brindle
from Any Matters Regarding ATC Group Services or its Clients**

Mr. Matthew Brindle is a Geologist 2 within the Geology Section of the Permits Branch in the Office of Land Quality (“OLQ”) at the Indiana Department of Environmental Management (“IDEM”).

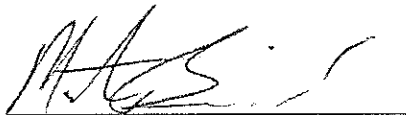
On August 2, 2018, Mr. Brindle applied for the position of staff geologist with ATC Group Services LLL (“ATC”). ATC is a national provider of integrated environmental consulting and engineering services. The staff geologist position, located in Indianapolis, is to support projects for electric utilities and solid waste landfill clients of ATC.

Mr. Brindle has had minimal direct contact with ATC during his approximate eleven-month employment at IDEM. He has attempted to review all his former and current assignments to ascertain if any of those assignments concerned any facility to which ATC had provided services. He determined that Oak Ridge RDF, Oak Ridge RDF East and Earthmovers landfill are clients of ATC and had been assigned to him for regulatory and permitting related work.

IDEM has instituted the following protocol to screen Mr. Brindle from any potential conflict of interest concerning ATC and the aforementioned clients.

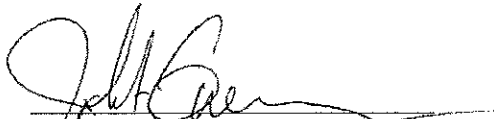
1. Geology Section Chief John Guerrettaz is Mr. Brindle’s immediate supervisor. Mr. Guerrettaz will not assign any current or future matters that may arise involving ATC Group Service to Mr. Brindle and he will reassign the Oak Ridge RDF, Oak Ridge RDF East and Earthmovers matters if not already completed to another geologist.
2. Mr. Brindle shall recuse himself from the portion of any meeting in which discussions specific to ATC or any of its clients or interests are discussed or are expected to be discussed.
3. Mr. Brindle shall not discuss any matter involving ATC or its clients with any other IDEM employee.
4. Mr. Guerrettaz will notify the employees in the geology section in writing that they are not to discuss any matter involving ATC or its clients with Mr. Brindle or send him any emails concerning ATC or its clients.
5. Mr. Brindle shall not access any correspondence or files relating to or involving ATC or its clients. Such documents, if not a matter of public record in IDEM’s Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where Mr. Brindle does not have access to alter the documents.
6. Mr. Brindle shall not participate in decisions regarding or relating to ATC or any of its clients.

7. Mr. Brindle shall immediately inform his supervisor and IDEM Ethics Officer Kathleen Mills if any provision of this screening protocol is violated.
8. Mr. Brindle shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
9. All signatories to this screening protocol shall review it within sixty (60) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.



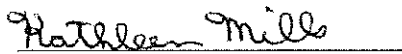
Matthew Brindle
Geologist 2
Geology Section
Permits Branch
Office of Land Quality
IDEM

8/7/2018
Date



John Guerrettaz
Geology Section Chief
Permits Branch
Office of Land Quality
IDEM

8/7/2018
Date



Kathleen Mills
Ethics Officer
Office of Legal Counsel
IDEM

8/7/2018
Date

Cooper, Jennifer

From: MILLS, KATHLEEN
Sent: Friday, August 10, 2018 12:56 PM
To: Cooper, Jennifer
Cc: Brindle, Matthew
Subject: FW: Notice of potential conflict of interest

Hello Jennifer,

I understand from the email you just sent me that you have not received the notice to the Commissioner from Mr. Brindle. Here is the email he provided me on August 8th.

From: Brindle, Matthew
Sent: Wednesday, August 08, 2018 2:55 PM
To: PIGOTT, BRUNO <BPIGOTT@idem.IN.gov>
Cc: MILLS, KATHLEEN <KMILLS@idem.IN.gov>
Subject: Notice of potential conflict of interest

Dear Commissioner,

In accordance with IC 4-2-6-9, I'm writing to let you know that I have applied for a position as a staff geologist with ATC Group Services LLC of Indianapolis on August 2, 2018. Because of my position as a geologist 2 in the Office of Land Quality, a possible conflict of interest could arise. I have already spoken with Kathy Mills and she has instituted a screening protocol and I have filed a disclosure statement with the State Ethics Commission in the Office of the Inspector General.

Thank you for your time,



Matthew Brindle
Geologist 2, Permits Branch
Office of Land Quality
Indiana Department of Environmental Management

(317) 233-7082 • mbrindle@idem.IN.gov

