

JUN 20 2018

**Reset Form**



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

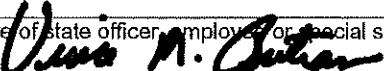
Name ( <i>last</i> ) Bertram	Name ( <i>first</i> ) Vince	Name ( <i>middle</i> )	
Name of office or agency Indiana State Board of Education		Job title Board Member	
Address of office ( <i>number and street</i> ) 143 W. Market Street, Suite 500		City Indianapolis	ZIP code 46204
Office telephone number ( 317 ) 232-2000	Office e-mail address ( <i>required</i> ) VBertram@sboe.in.gov		
<p>Describe the conflict of interest:</p> <p>Dr. Bertram currently serves as President and Chief Executive Officer of Project Lead The Way (PLTW), a non-profit organization headquartered in Indianapolis that provides science, technology, engineering and math programs nationwide.</p> <p>During the Board's June 13th, 2018, business meeting, a consent agenda item titled "Revised Credit Opportunities for Engineering Design and Development" was presented to the Board for discussion and vote. The decision regarding this agenda item could impact the number of credits earned in the PLTW Engineering Design and Development Course. Given Dr. Bertram's status as President and Chief Executive Officer of PLTW, he chose to recuse himself from the discussion and vote to avoid any potential conflict of interest arising from the vote.</p> <p>Additionally, Dr. Bertram currently serves on the Western Governors University (WGU) Advisory Board. During the Board's June 13th, 2018, business meeting, an action agenda item titled "Western Governors University Teacher Preparation Program" was presented to the Board for discussion and vote. Given Dr. Bertram's status as a member of WGU's Advisory Board, he chose to recuse himself from the discussion and vote to avoid any potential conflict of interest arising from the vote.</p>			

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

The Board's ethics officer routinely reviews all materials presented to the Board to identify potential conflicts of interest for Board members. Additionally, Board members are required to engage in ethics training on a regular basis as required by state law. This training ensures Board members are able to identify potential ethics issues that may arise in their official capacity as special state appointees.

#### AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

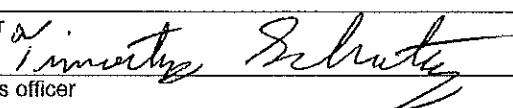
Signature of state officer, employee or special state appointee  


Date signed (month, day, year)  
June 18, 2018

Printed full name of state officer, employee or special state appointee  
Dr. Vince Bertram

#### FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer  


Date signed (month, day, year)  
6/20/18

Printed full name of ethics officer  
Timothy A. Schultz

## **Schultz, Timothy A**

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**From:** Vince Bertram <[vbertram@pltw.org](mailto:vbertram@pltw.org)>  
**Sent:** Monday, June 18, 2018 3:00 PM  
**To:** Schultz, Timothy A  
**Cc:** Bertram, Vincent M; Voors, Matthew (Matt)  
**Subject:** Re: Recent Recusal

\*\*\*\* This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. \*\*\*\*

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Hello, Tim,

The first item was to increase the number of credits earned in the PLTW Engineering Design and Development Course. Since I am the president and chief executive officer of PLTW, I thought it was inappropriate to vote on a specific PLTW topic.

The second item was approval of the WGU teacher education program. I have served on the WGU-Indiana Advisory Board since its inception, and thought it was inappropriate to vote on whether to grant approval.

Is this sufficient information for compliance purposes?

Thank you!

Vince

**Vince M. Bertram, Ed.D., MBA**  
*President and Chief Executive Officer*  
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Indianapolis, IN 46240  
P: 317-669-0821  
@VinceBertram  
[www.pltw.org](http://www.pltw.org)

**Croft, Celeste**

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**From:** Schultz, Timothy A  
**Sent:** Wednesday, June 20, 2018 4:46 PM  
**To:** IG Info  
**Cc:** Cooper, Jennifer; Voors, Matthew (Matt); Bertram, Vincent M  
**Subject:** Conflict of Interest – Decisions and Voting - Disclosure Form (Dr. Bertram)  
**Attachments:** Completed Disclosure Form-Dr. Bertram.pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Good afternoon:

Please find attached a disclosure statement pertaining to a member of the Indiana State Board of Education who recently recused himself from a vote/discussion. If you have any questions please do not hesitate to contact me.

Thank you.

Timothy A. Schultz  
General Counsel  
Indiana State Board of Education  
(O) 317.233.4088 | [www.in.gov/sboe](http://www.in.gov/sboe) | F: 317.234.8726  
143 W. Market, Suite 500, Indianapolis, IN 46204



**INDIANA STATE BOARD OF EDUCATION**

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