

AUG 10 2020



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

| | | | |
|--|------------------------|--|-------------------|
| Name (last) Bell | Name (first) Joseph | Name (middle) | |
| Name of office or agency Indiana Department of Transportation- Seymour District | | Job title In-House Services Manager | |
| Address of office (number and street) 185 Agrico Lane | | City Seymour | ZIP code 47274 |
| Office telephone number (812) 524-3736 | | Office e-mail address (required) JBell@indot.IN.gov | |

Describe the conflict of interest:
Joseph Bell is In-House Services Manager for the Indiana Department of Transportation- Seymour District (INDOT).
Joseph Bell has entered employment negotiations with HNTB Corporation ("HNTB"), HWC Engineering ("HWC"), and Janssen & Spaans Engineering ("JSE"). Each firm has business relationship with INDOT, including active contracts.
Joseph Bell's employment negotiations with HNTB, HWC, and JSE created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
Joseph Bell is no longer subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to HNTB, HWC, or JSE.
Joseph Bell is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT.
This disclosure was provided to Mr. Bell's appointing authority, Commissioner Joe McGuinness, on August 6, 2020.
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Joseph Bell from doing work with HNTB, HWC, and JSE in his capacity as an INDOT employee. Said screen prohibits Mr. Bell from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HNTB, HWC, or JSE, or in which HNTB, HWC, and/or JSE has an interest. Joseph Bell is further screened from assisting any future employer, including HNTB, HWC, and JSE, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on August 6, 2020, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Joseph Bell

Date signed (month, day, year)

August 6, 2020

Printed full name of state officer, employee or special state appointee

Joseph Bell

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Christopher B. Serak

Date signed (month, day, year)

August 6, 2020

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR JOSEPH BELL

August 4, 2020

I. RECITALS

WHEREAS, Joseph Bell is the In-House Services Manager for the Indiana Department of Transportation- Seymour District ("INDOT" or "Department"); and

WHEREAS, Joseph Bell has entered employment negotiations with the following companies: HNTB Corporation ("HNTB"), HWC Engineering ("HWC"), and Janssen & Spaans Engineering ("JSE"), and is planning to accept employment with one of these firms; and

WHEREAS, HNTB, HWC, and JSE have a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as the In-House Services Manager for INDOT Seymour District, it is possible that Joseph Bell could be assigned to work with HNTB, HWC, and/or JSE or to participate in matters involving one of more of the companies as part of his regular duties; and

WHEREAS, Joseph Bell's employment negotiations with HNTB, HWC, and JSE created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Joseph Bell is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including HNTB, HWC, and JSE, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Joseph Bell, the In-House Services Manager for INDOT- Seymour District, for his remaining tenure with the Department, or until he discontinues employment negotiations with HNTB Corporation, HWC Engineering, and Janssen & Spaans Engineering agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Joseph Bell must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of the particular matter.

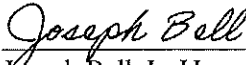
II. CONFLICT OF INTEREST SCREEN

1. Joseph Bell shall not participate in any decision or vote, or any matter related to such decision or vote, in which HNTB, HWC, or JSE has a financial interest.
2. Joseph Bell should be screened from participating in any present or future contract or other matter involving HNTB, HWC, and/or JSE.
3. Joseph Bell shall not assist any future employers, including HNTB, HWC, and JSE, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HNTB Corporation, HWC Engineering, or Janssen & Spaans Engineering has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HNTB, HWC, and JSE, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 4^h day of August, 2020, by:



Joseph Bell, In-House Services Manager
Indiana Department of Transportation- Seymour District

Baker, Nathaniel P

Subject: RE: Executed COI Disclosure and Internal Screen (Joseph Bell)

From: Serak, Chris (INDOT)
Sent: Monday, August 10, 2020 1:49 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Bell, Joseph <JBell@indot.IN.gov>; Kennedy, Heather <HKennedy@indot.IN.gov>
Subject: Executed COI Disclosure and Internal Screen (Joseph Bell)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Joseph Bell. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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