



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST - DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

MAR 25 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Beam	Name (first) Amber	Name (middle)	
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Construction Project Supervisor	
Address of office (number and street) 41 West 300 North		City Crawfordsville	ZIP code 47933
Office telephone number (765) 225-1368	Office e-mail address (required) ABeam@Indot.IN.gov		

Describe the conflict of interest:
 Amber Beam is a Construction Project Supervisor for the Indiana Department of Transportation (INDOT)- Crawfordsville District.
 Amber Beam has entered employment negotiations with CHA, Inc. ("CHA"). CHA has a business relationship with INDOT, including active contracts with the Department.
 Amber Beam's employment negotiations with CHA created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Amber Beam is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) in her position as Construction Project Supervisor.
 Amber Beam is subject to the particular matter restriction and will not assist any future employer with a matter she personally and substantially participated in on behalf of INDOT.
 This disclosure was provided to Amber Beam's appointing authority, Commissioner Joe McGuinness, on March 25, 2021. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Amber Beam from doing work with CHA, Inc. in her capacity as an INDOT employee. Said screen prohibits Ms. Beam from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving CHA, or in which CHA has an interest. Amber Beam is further screened from assisting any future employer, including CHA, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on March 25, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

March 25, 2021

Printed full name of state officer, employee or special state appointee

Amber Beam

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above

Signature of ethics officer



Date signed (month, day, year)

March 25, 2021

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR AMBER BEAM

March 25, 2021

I. RECITALS

WHEREAS, Amber Beam is a Construction Project Supervisor for the Indiana Department of Transportation ("INDOT" or "Department"); and

WHEREAS, Amber Beam has accepted a position with CHA, Inc.; and

WHEREAS, CHA has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Construction Project Supervisor for INDOT, it is possible that Amber Beam could be assigned to work with CHA or to participate in matters involving the company as part of her regular duties; and

WHEREAS, Amber Beam's employment negotiations with CHA created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Amber Beam is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including CHA, with any matter she personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Amber Beam, a Construction Project Supervisor, for her remaining tenure with the Department, or until she discontinues employment negotiations with CHA, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Amber Beam must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

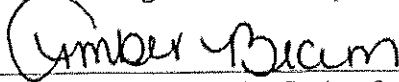
II. CONFLICT OF INTEREST SCREEN

1. Amber Beam shall not participate in any decision or vote, or any matter related to such decision or vote, in which CHA has a financial interest.
2. Amber Beam should be screened from participating in any present or future contract or other matter involving CHA.
3. Amber Beam shall not assist any future employers, including CHA, with any matter she personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which CHA, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with CHA, Inc., whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 25th day of March 2021, by:



Amber Beam, Construction Project Supervisor
Indiana Department of Transportation - Crawfordsville District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Amber Beam)

From: Serak, Chris (INDOT)
Sent: Thursday, March 25, 2021 12:36 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Beam, Amber <ABeam@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Amber Beam)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Amber Beam. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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