

INDIANA
STATE ETHICS COMMISSION

DEC 20 2019



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Beale	Name (first) Cortney	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Area Engineer	
Address of office (number and street) 315 East Boyd Boulevard		City LaPorte	ZIP code 46350
Office telephone number (219) 325-7592	Office e-mail address (required) cbeale@indot.in.gov		

Describe the conflict of interest:

Cortney Beale is an Area Engineer for the LaPorte District of INDOT. Beale's husband, David Beale, has accepted a position with Walsh & Kelly, Inc. INDOT has a business relationship with Walsh & Kelly, including ongoing contracts with the firm. As an Area Engineer, Beale could be assigned to work with her husband on projects awarded to Walsh & Kelly. Cortney Beale's husband's employment with Walsh & Kelly may create a decisions and voting conflict under 42 IAC 1-5-6. Therefore, Cortney Beale should be screened from working on any project assigned to her husband, David Beale, through his employment with Walsh & Kelly.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Cortney Beale shall not participate in any decision or vote, or any matter related to such decision or vote, related to a project to which David Beale is assigned as an employee of Walsh & Kelly. All such matters should be referred to other Area Engineers in the LaPorte District. It shall be left to the discretion of the Construction Director for the LaPorte District to direct the resolution of matters assigned to David Beale through his employment with Walsh & Kelly.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Cortney Beale

Date signed (month, day, year)

12/20/19

Printed full name of state officer, employee or special state appointee

Cortney Beale

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Printed full name of ethics officer

Christopher B. Serak

Date signed (month, day, year)

12/20/19

Baker, Nathaniel P

To: Cooper, Jennifer
Subject: RE: Executed COI Disclosure and Screen (Cortney Beale)

From: Serak, Chris (INDOT)
Sent: Friday, December 20, 2019 1:53 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Beale, Cortney A <CBeale@indot.IN.gov>
Subject: Executed COI Disclosure and Screen (Cortney Beale)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Cortney Beale. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
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