

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

Attached

Area with horizontal dashed lines for describing the screen established by the ethics officer.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Nick Aaron Patton

Date signed (month, day, year)

5-4-17

Printed full name of state officer, employee or special state appointee

Nick Aaron Patton

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Kathleen Mills

Date signed (month, day, year)

5-4-17

Printed full name of ethics officer

Kathleen Mills

**Protocol
to Screen IDEM Employee Nick Batton
from Any Matters Regarding Republic Services**

Mr. Nick Batton is an Environmental Manager 2 within the Solid Waste Permits Section of the Permits Branch in the Office of Land Quality ("OLQ") at the Indiana Department of Environmental Management ("IDEM"). He manages the solid waste permit application/modification process for those facilities assigned to him in the southwest portion of Indiana. His duties include reviewing the non-technical portion of the assigned permit applications for completeness; acting as a liaison between the applicant and IDEM technical staff and drafting any correspondence to the applicant. Other employees rather than Mr. Batton make the decisions regarding the particulars or any permit or modification.

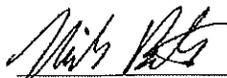
On April 27, 2017, Mr. Batton applied on line for a position as an environmental manager at the Newton County Landfill. The landfill is owned by Republic Services, 18500 North Allied Way, Phoenix, Arizona, 85054. The landfill has three open manager positions. One position involves compliance assistance; another involves construction management and the third open position is for a leachate treatment system manager.

The Newton County Landfill is outside Mr. Batton's assigned area. He has not performed any duties at IDEM regarding that landfill. However, IDEM has assigned Mr. Batton to manage the permit applications of other facilities owned by Republic Services. Therefore, to prevent even a potential conflict of interest, IDEM shall implement the following procedures to screen Mr. Batton from any matter that may arise at IDEM regarding any facility owned by Republic Services.

1. Ms. Joniskan, Mr. Batton's supervisor, shall assign all Republic Service matters currently assigned to Mr. Batton to another employee within the Solid Waste Permits Section.
2. Ms. Joniskan will not assign any new matter regarding Republic Services to Mr. Batton.
3. Mr. Batton shall recuse himself from the portion of any meeting in which discussions specific to Republic Services or any facility owned by Republic Services are discussed or are expected to be discussed.
4. Mr. Batton shall not discuss any matter involving Republic Services or its facilities with any IDEM employee.
5. Ms. Joniskan will notify the employees in her section in writing that they are not to discuss any matter involving Republic Services or its facilities with Mr. Batton or send him any emails concerning the company or its facilities.
6. Mr. Batton shall not access any correspondence or files relating to any permit decision involving Republic Services. Such documents shall be housed in the office of the

employee assigned to the matter or kept in a locked file cabinet for which Hr. Batton does not have access.

7. Mr. Batton shall not participate in decisions regarding or relating to Republic Services.
8. Mr. Batton shall immediately inform his supervisor and the IDEM Ethics Officer Kathleen Mills if any provision of this screening protocol is violated.
9. Mr. Batton shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
10. Mr. Batton shall also notify Republic Services in writing that he has recused himself from all matter concerning the company.
11. Mr. Batton, Ms. Joniskan and Ethics Officer Mills shall review this screening protocol within 30 days from the date of the last signature to assure this protocol has been followed and determine if the protocol should continue.



Nick Batton
Environmental Manager 2
Solid Waste Permits Section
Office of Land Quality

5/3/2017

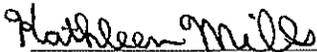
Date



Rebecca Joniskan
Section Chief
Solid Waste Permits Section
Office of Land Quality

5/3/2017

Date



Kathleen Mills
Ethics Officer
Office of Legal Counsel

5/3/2017

Date

From: Batton, Nick
To: PIGOTT, BRUNO
Subject: Ethics Disclosure Statement
Date: Thursday, May 04, 2017 12:55:00 PM
Attachments: 20170504131701106.pdf

Mr. Pigott,

In pursuit of compliance with IC 4-2-6-9, attached is a “conflicts of interest-decisions and voting” regarding a screening protocol. This information is being filed with the State Ethics Commission.

Thanks,

Nick Batton, Environmental Manager
Solid Waste Permit Section
Permits Branch
Office of Land Quality
Indiana Department of Environmental Management
(317)232-8731
Nbatton@idem.in.gov

Croft, Celeste

From: Batton, Nick
Sent: Thursday, May 04, 2017 12:57 PM
To: IG Info
Subject: Ethics Disclosure Statement- Conflicts of Interest
Attachments: ethics final.pdf

Attached is an ethics disclosure statement and protocol to Screen myself from any matters regarding Republic Services. Please advise if there is anything else you need from me.

Thanks,

Nick Batton, Environmental Manager
Solid Waste Permit Section
Permits Branch
Office of Land Quality
Indiana Department of Environmental Management
(317)232-8731
Nbatton@idem.in.gov

