



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 85860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION

FEB 05 2020

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Albert	Name (first) Rachel	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Erosion Control Specialist	
Address of office (number and street) 185 Agrico Lane		City Seymour	ZIP code 47274
Office telephone number (812) 414-8494	Office e-mail address (required) ralbert@indot.in.gov		

Describe the conflict of interest:

Rachel Albert is an Erosion Control Specialist for the Seymour District of INDOT. Albert's husband owns a company, Albert Land Management, that could potentially do business with INDOT. In particular, the company plans to bid on the following INDOT contracts: R-40852, R-40853, R-42481, and R-41164. As an Erosion Control Specialist, Albert could be assigned to oversee these projects. This would create a decisions and voting conflict under 42 IAC 1-5-6. Therefore, Rachel Albert must be screened from working on any project assigned to her husband's company, Albert Land Management, including R-40852, R-40853, R-42481, and R-41164.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Rachel Albert shall not participate in any decision or vote, or any matter related to such decision or vote, related to a project which Albert Land Management is awarded. All such matters should be referred to other INDOT employees. It shall be left to Rachel Albert's supervisor to assign work completed by Albert Land Management to other INDOT employees.

This screen has been discussed with Rachel Albert's supervisor, who has approved the arrangement.

This screen includes the following INDOT contracts: R-40852, R-40853, R-42481, and R-41164

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Rachel Albert

Date signed (month, day, year)

1/23/20

Printed full name of state officer, employee or special state appointee

Rachel Albert

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Christopher B. Serak

Date signed (month, day, year)

1/23/20

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR RACHEL ALBERT

January 23, 2020

I. RECITALS

WHEREAS, Rachel Albert is an Erosion Control Specialist for the Indiana Department of Transportation, Seymour District ("INDOT" or "Department"); and

WHEREAS, Rachel Albert's husband operates a company called Albert Land Management; and

WHEREAS, Albert Land Management wishes to bid on INDOT projects, specifically R-40852, R-40853, and R-41164; and

WHEREAS, Rachel Albert may be assigned to oversee project awarded to Albert Land Management, including R-40852, R-40853, R-42481, and R-41164; and

WHEREAS, overseeing her husband's contracts would create a decisions and voting conflict of interest under IC 4-2-6-9 and in turn requires a disclosure and a formal screen.

NOW THEREFORE, Rachel Albert, an Erosion Control Specialist for INDOT, Seymour District, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen involving Albert Land Management.


II. CONFLICT OF INTEREST SCREEN

1. Rachel Albert shall not participate in any decision or vote, or any matter related to such decision or vote, in which Albert Land Management has a financial interest.
2. Rachel Albert should be screened from participating in any present or future contract or other matter involving Albert Land Management, including R-40852, R-40853, R-42481, and R-41164.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Albert Land Management has a financial interest. I understand that the terms of this screen shall continue for the remainder of my tenure with INDOT, or until such time that Albert Land Management ceases operations. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor who will take measure to ensure participate in any decision or vote involving Albert Land Management.

Executed and agreed this 23rd day of January, 2020, by:



Rachel Albert, Erosion Control Specialist
Indiana Department of Transportation- Seymour District

Baker, Nathaniel P

Subject: RE: Executed Screen and Disclosure (Rachel Albert)

From: Serak, Chris (INDOT)
Sent: Monday, February 3, 2020 10:10 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Albert, Rachel <RALbert@indot.IN.gov>
Subject: Executed Screen and Disclosure (Rachel Albert)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Rachel Albert. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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