

**INDIANA  
STATE ETHICS COMMISSION**

MAR 30 2016



**CONFLICTS OF INTEREST – CONTRACTS  
ETHICS DISCLOSURE STATEMENT** FILED  
State Form 53345 (R2 / 6-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-10.5

Mail to:  
OFFICE OF INSPECTOR GENERAL  
315 West Ohio Street, Room 104  
Indianapolis, IN 46202  
Telephone: (317) 232-3850  
E-mail scanned copy to: [info@ig.in.gov](mailto:info@ig.in.gov)

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

**PART 1 – GENERAL INFORMATION**

Last name Smith Grossman	First name Angela	Middle initial M
Address of office (number and street, city, state, and ZIP code) 250 Main Street, Suite 301, Lafayette, IN 47901		
Title or position within agency Regional Manager, Region 5	Name of agency Department of Child Services	

**PART 2 – CONTRACT**

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity IUPUI School of Social Work	Name of entity contact person (first name and last name) Michael A. Patchner, Ph.D.
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This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or  
 not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here. The exact content of the contract is unknown to the employee. Employee is not part of the discussion, preparation, accounting, monitoring or selection of the contract.

I will not be employed within the IUPUI-DCS contract. I will be employed by IUPUI specifically and the position in this program is funded through student tuition and fees. I will also not have DCS students enrolled through the program that I will be instructing as part of the contract. This program is funded, per IUPUI hiring staff, by student tuition and fees.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

The Department of Child Services contracts with IUPUI in writing/consulting on staff development curriculum for field employees and also is the recipient of IV-E monies for DCS employees enrolled in the Master's of Social Work Program. That is NOT part of the program purview of my instruction. IUPUI operates with DCS/IV-E funds from that contract for a specific product. As described above, that product is the enrollment of DCS employees in the MSW-IV-E.

Description of the Financial Interest: *(Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)*

Employee will provide classroom instruction via the MSW Direct program, specifically in subject matter related to social work leadership on line to students enrolled in this specific program. The instruction will be provided face to face and through the use of on line tools for 12-16 week increments under the supervision of IUPUI program manager for the MSW Direct program. Students are from many disciplines and employment as well as geographic regions outside the Indianapolis campus. The employee will give and grade assignments for the course that contributes to the student overall program requirements. The class will be approximately 25 students and is one of two sessions of the curriculum being taught at this time. The fee has not yet been determined.

In addition, periodic requests to provide clinical supervision to students enrolled in the MSW direct program will be made to the employee that last 12-16 weeks. These duties will be approximately 1 hour commitment each week, face to face and/or via telephone or INTERNET to students that require field supervision for the completion of their practicum requirements. The employee will assist the student in developing a learning plan for their field site as well as reviewing with the student, participation in the developed activities and rating their knowledge and skill for final passing grades. There are other tasks that contribute to the student's overall practicum experience and the employee acts onl as a supervisor of the clinical experience and not as the instructor of a class. This task pays the employee \$300 per student. This task may be repeated through the year based on the need of the student placement. Students working in related fields to DCS will not be taken into instruction by the employee.

DCS has a contract with IUPUI for staff development partnership for training and curriculum and for the enrollment of MSW students that are employed at DCS in the IV-E MSW program for child welfare. The course work and instruction provided by this writer will not be part of that program, service or contract but separate and funded through student tuition and fees and not DCS.

**ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES**

**PART 3 – AGENCY CERTIFICATION**

Approval of appointing authority

Being the \_\_\_\_\_ of \_\_\_\_\_  
*(Title of Appointing Authority)* *(Name of Contracting Agency)*

I hereby affirm that no other state officer, employee, or special state appointee of \_\_\_\_\_  
*(Name of the Contracting Agency)*

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority	Date signed (month, day, year)
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Name of Appointing Authority

**PART 4 – AFFIRMATION**

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature <i>Debra Srd Gredano</i>	Date signed (month, day, year) 3/21/2016
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**SCHOOL OF SOCIAL WORK**

INDIANA UNIVERSITY  
Office of the Dean

March 22, 2016

To Whom It May Concern:

The Indiana University School of Social Work has hired Angela Smith-Grossman to function as an external field instructor and/or liaison for the MSW Direct Program. Ms. Smith-Grossman will begin her faculty field liaison assignment during the summer 2016 term with MSW Direct.

The IU School of Social Work will not use any of its IV-E contract funds to pay for Ms. Smith-Grossman's employment. She will be hired as adjunct faculty with the School.

I hope this information is helpful to you concerning the status of Angela Smith-Grossman. If I can be of further assistance, please do not hesitate to contact me at 317-278-0388 or [ckpike@iupui.edu](mailto:ckpike@iupui.edu).

Sincerely,

*Cathy K. Pike*

Cathy K. Pike, Ph.D.  
Associate Dean and Professor