

**INDIANA  
STATE ETHICS COMMISSION**



**CONFLICTS OF INTEREST – CONTRACTS  
ETHICS DISCLOSURE STATEMENT**  
 State Form 53345 (R2 / 6-15)  
 OFFICE OF THE INSPECTOR GENERAL  
 IC 4-2-6-10.5

APR 9 7 2019

FILED

Mail to:  
 OFFICE OF INSPECTOR GENERAL  
 315 West Ohio Street, Room 104  
 Indianapolis, IN 46202  
 Telephone: (317) 232-3860  
 E-mail scanned copy to: [info@ig.in.gov](mailto:info@ig.in.gov)

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

**PART 1 – GENERAL INFORMATION**

Last name Newlin	First name John	Middle initial S.
Address of office (number and street, city, state, and ZIP code) 601 Ohio Street, Terre Haute, IN 47807		
Title or position within agency Real Estate Appraisal Board Member	Name of agency Indiana Real Estate Appraiser Licensure and Certification Board	

**PART 2 – CONTRACT**

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity Newlin-Johnson Co., Inc. (INDOT)	Name of entity contact person (first name and last name) Dan Wilson
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This contract was (check one):

made after public notice and, if applicable, through competitive bidding; or

not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here.

Because the Indiana Department of Transportation does not have enough employees that are licensed real estate appraisers, the Department enters into multi-assignment contracts with licensed real estate appraisers. The appraisers receiving contracts are chosen based upon an evaluation performed by several members of the INDOT Land Acquisition staff. The fees are determined by use of a preset table. Assignments are made using purchase orders.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

On call Right of Way Appraising Services Statewide contract for an assumed two-year period expiring March 31, 2020. The total maximum amount of the contract is \$150,000. In addition is a two year renewal option.

Description of the Financial Interest: (Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)

The total amount of appraisal services is an assumed \$150,000. The assignments are made using purchase orders. My employer is "Newlin-Johnson Co., Inc." I own 344 shares. The company has 879 shares outstanding.

**ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES**

**PART 3 – AGENCY CERTIFICATION**

Approval of appointing authority

Being the Commissioner of INDOT  
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of INDOT  
(Name of the Contracting Agency)

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority [Signature] Date signed (month, day, year) 6/27/2019

Name of Appointing Authority

**PART 4 – AFFIRMATION**

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature John S. Newlin Date signed (month, day, year) June 4, 2019