



**CONFLICTS OF INTEREST – CONTRACTS  
ETHICS DISCLOSURE STATEMENT** INDIANA  
STATE ETHICS COMMISSION  
State Form 53345 (R2 / 6-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-10.5

APR 04 2018

Mail to:  
**OFFICE OF INSPECTOR GENERAL**  
315 West Ohio Street, Room 104  
Indianapolis, IN 46202  
Telephone: (317) 232-3850  
E-mail scanned copy to: [info@ig.in.gov](mailto:info@ig.in.gov)

Check if you are making a correction to a previously filed **FILED** statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

**PART 1 – GENERAL INFORMATION**

Last name Newlin	First name John	Middle initial S
Address of office (number and street, city, state, and ZIP code) 601 Ohio St., Terre Haute, IN 47807		
Title or position within agency Real Estate Appraiser Board Member	Name of agency IPLA	

**PART 2 – CONTRACT**

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity Newlin-Johnson Co., Inc	Name of entity contact person (first name and last name) John S. Newlin
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This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or  
 not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here.

Because the Indiana Department of Transportation does not have enough employees that are licensed real estate appraisers the department enters into multi assignment contracts with licensed real estate appraisers. The appraisers receiving contracts are chosen based on an evaluation performed by several members of the INDOT land acquisition staff. The fees are determined by use of a preset table. Assignments are made using purchase orders.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

On call - Right of Way Appraising Services - Statewide. Two year (expiring 03/21/2020) for the maximum amount of \$150,000.

Description of the Financial Interest: (Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)

The total amount of appraisal services is \$150,000. The assignments are made using purchase orders.

**ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES**

**PART 3 – AGENCY CERTIFICATION**

Approval of appointing authority

Being the Commissioner of Indiana Dept. of Transportation  
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of Indiana Dept. of Transportation  
(Name of the Contracting Agency)

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority

Date signed (month, day, year)

Name of Appointing Authority

Joe McGuinness

4/3/2018

**PART 4 – AFFIRMATION**

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature

Date signed (month, day, year)

John S. Neuman

3/21/2018

**ATTACHMENT A TO AGENCY CERTIFICATION**

This Attachment A is made an essential part of the PART 3 – AGENCY CERTIFICATION (the “Agency Certification”) of Joe McGuinness, the Commissioner and Appointing Authority of the Indiana Department of Transportation (the “Appointing Authority”), with respect to the “Conflicts of Interest-Contracts Ethics Disclosure Statement” (the “Statement”) by John S. Newlin dated March 21, 2018, to be affirmed and submitted to the Inspector General.

The contract covered by the Statement and the Agency Certification is an on-call contract, in which particular appraisal assignments are made as needed from time to time. The affirmation of the Appointing Authority in the Agency Certification is clarified to mean that no other state officer, employee, or special state appointee of the Indiana Department of Transportation is or was available to perform the particular appraisal assignments under this on-call contract as part of the regular duties of the state officer, employee, or special state appointee.

  
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Signature of Appointing Authority

April 3, 2018  
~~March~~