

INDIANA
STATE ETHICS COMMISSION



CONFLICTS OF INTEREST – CONTRACTS
ETHICS DISCLOSURE STATEMENT
State Form 53345 (R2 / 6-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-10.5

Mail to:
OFFICE OF INSPECTOR GENERAL
315 West Ohio Street, Room 104
Indianapolis, IN 46202
Telephone: (317) 232-3850
E-mail scanned copy to: info@iq.in.gov

APR 13 2018

FILED

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

PART 1 – GENERAL INFORMATION

Last name Bedel	First name Elaine	Middle initial E
Address of office (number and street, city, state, and ZIP code) 1 North Capitol Avenue, Suite 700, Indianapolis, IN 46204		
Title or position within agency President	Name of agency Indiana Economic Development Corporation (IEDC)	

PART 2 – CONTRACT

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity Butler University - Lacy School of Business - Old National Bank Center for Closely Held Business	Name of entity contact person (first name and last name) Dennis Wimer
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This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or
 not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here. The Indiana Small Business Development Center (ISBDC) host agreements are grants that are not subject to public bidding requirements. See Indiana Code chapters 5-22-1-3(a)(8) and 5-28. The ISBDC State Director works with the Indiana Economic Development Corporation (IEDC) to identify and engage organizations that can satisfy the unique needs of an ISBDC. The ISBDC Network is composed of 10 regional offices that are strategically located in order to serve the entire state. In an effort to create better alignment, the regional offices are principally hosted and operated by institutions of higher education for purposes of accessibility, student engagement, alignment with the U.S. Small Business Administration's objectives/national trends, and community engagement in entrepreneurship-related activities.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

The IEDC will enter into a grant agreement with Butler University ("Butler") to host and operate the Central Region ISBDC. Butler's Old National Bank Center for Closely Held Business, housed within Butler's Lacy School of Business ("Lacy School of Business"), will host the day to day operations of the Central Region ISBDC.

Description of the Financial Interest: *(Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)*

Ms. Bedel serves as a member of the Lacy School of Business' Board of Visitors (board of directors). Upon learning that Butler and its Lacy School of Business was being considered as a host institution for the Central Region ISBDC, Ms. Bedel notified the IEDC's General Counsel & Ethics Officer that she serves on the Lacy School of Business' Board of Visitors. Because the grant agreement between the IEDC and Butler includes a state funding component, Ms. Bedel, by virtue of her board position, has a financial interest (as defined in Indiana Code section 4-2-6-1(a)(11)) in the IEDC's designation of Butler as a host institution. In the interests of transparency and out of an abundance of caution, Ms. Bedel was immediately and completely screened from any IEDC discussions related to this matter. Furthermore, Ms. Bedel has taken the appropriate steps to recuse herself and be completely screen from any discussion, decision, and vote of the Lacy Board of Visitors' on this matter. In addition, Ms. Bedel has been and will continue to be screened from the negotiation and administration of this grant agreement by the IEDC.

The approximate dollar value of the financial interest to Butler related to the pending grant is \$297,000.

ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES

PART 3 – AGENCY CERTIFICATION

Approval of appointing authority

Being the _____ of _____
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of _____
(Name of the Contracting Agency)

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority	Date signed (month, day, year)
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Name of Appointing Authority

PART 4 – AFFIRMATION

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature <i>Elaine E. Bedel</i>	Date signed (month, day, year) <i>4-13-2018</i>
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Croft, Celeste

From: Cooper, Jennifer
Sent: Monday, April 16, 2018 11:57 AM
To: Croft, Celeste
Subject: FW: I.C. 4-2-6-10.5 Disclosure from IEDC
Attachments: E. Bedel_I.C. 4-2-6-10.5 Disclosure (Butler School of Business).pdf

Celeste-

Attached is a new COI:K disclosure form for processing.

Thank you!

Jen Cooper

State Ethics Director
Office of the Inspector General
315 W. Ohio Street, Room 104
Indianapolis, IN 46202
317-234-4108
jcooper@ig.in.gov

From: Huang, John Z
Sent: Friday, April 13, 2018 5:08 PM
To: Cooper, Jennifer <JCooper@ig.IN.gov>
Cc: Cotterill, Chris W <CCotterill@iedc.IN.gov>; Kimmick, Matthew <MKimmick@iedc.IN.gov>; Gaddis, Kyle (IEDC) <KGaddis@iedc.IN.gov>
Subject: I.C. 4-2-6-10.5 Disclosure from IEDC

Jen,

Please find attached a filing on behalf of IEDC President Elaine Bedel, in compliance with IC 4-2-6-10.5. We understand that this filing will be posted on the IG website. Since this agreement is not for professional services, Part 3 – Agency Certification has not been completed.

Best,

John Z. Huang
General Counsel and Vice President

Indiana Economic Development Corporation
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