

SEP 27 2017



**CONFLICTS OF INTEREST – CONTRACTS
ETHICS DISCLOSURE STATEMENT**
State Form 53345 (R2 / 6-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-10.5

Mail to: **FILED**
OFFICE OF INSPECTOR GENERAL
315 West Ohio Street, Room 104
Indianapolis, IN 46202
Telephone: (317) 232-3850
E-mail scanned copy to: info@iq.in.gov

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

PART 1 – GENERAL INFORMATION

Last name Bagga	First name Jay	Middle initial S
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Address of office (number and street, city, state, and ZIP code)
N/A

Title or position within agency Special State Appointee	Name of agency Indiana Executive Council on Cybersecurity
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PART 2 – CONTRACT

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity Ball State University, Voting System Technical Oversight Program (VSTOP)	Name of entity contact person (first name and last name) Jay Bagga & Bryan Byers (Co-Directors of VSTOP)
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This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or
 not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here.
This is an ongoing contract between the Office of the Indiana Secretary of State and Ball State University.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

To provide services and deliverables to the State to implement and conduct the Voting System Technical Oversight Program (VSTOP), pursuant to IC 3-11-16 from July 1, 2017 through June 30, 2019.

Description of the Financial Interest: *(Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)*

As a Co-Director of VSTOP, I work with a team consisting of myself and Bryan Byers, as well as a Project Manager and an IT Specialist, an Administrative Coordinator, and three graduate assistants to carry out the activities for the above contract. Myself and my team are all paid by Ball State University to perform the work required to fulfill the terms of this contract.

ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES

PART 3 – AGENCY CERTIFICATION

Approval of appointing authority

Being the _____ of _____
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of _____
(Name of the Contracting Agency)

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority

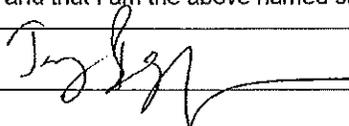
Date signed *(month, day, year)*

Name of Appointing Authority

PART 4 – AFFIRMATION

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature



Date signed *(month, day, year)*

5/27/17

Croft, Celeste

From: Smith, Chelsea
Sent: Wednesday, September 27, 2017 3:56 PM
To: IG Info
Subject: COI - Contracts Form for Jay Bagga
Attachments: Conflict of Interest Form BAGGA 2017.pdf

Hello,

Attached please find a Conflict of Interests; Contracts disclosure statement for Jay Bagga, a member of the Indiana Executive Council on Cybersecurity.

Thank you,

Chelsea E. Smith | Administrative Law Judge
Indiana Department of Homeland Security
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Indianapolis, IN 46204
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Email: Chesmith2@dhs.in.gov
Web: www.in.gov/dhs