



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

FILED

OCT 18 2023

**INDIANA STATE
 ETHICS COMMISSION**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Spinner	Name (first) Dennis	Name (middle) W.
Name of office or agency Office of Community and Rural Affairs (OCRA)		Job title Executive Director
Address of office (number and street) 1 North Capital Avenue, Suite 600		City Indianapolis
Office telephone number (800) 457-8283		ZIP code 46204
Office e-mail address (required) dspinner@ocra.in.gov		

Describe the conflict of interest:
 On October 9 I recieved a call from Kerry Thompson, the Director of the Indiana University Center for Rural Engagment. Ms. Thompson will be leaving that position at the end of the year, and she informed me that I was being considered as a candidate for the position. She asked if I would have a phone conversation with those making the decision to gauge my interest. I agreed to the call. On October 10 I spoke with IU Provost Rahul Shrivastav and Vice President for University Relations, Michael Huber. We discussed what the position would be and when the employment would start if I were selected. On October 11 I reached out to the Office of Inspector General for an informal opinion and was advised to meet with my agency Ethics Officer and complete this report. On October 16 I met with OCRA Ethics Officer Anne Valentine to discuss the situation and presented her this report.

According to the Informal Opinion from the OIG, there is a potential conflict of interest under Section 2 of Indiana Code post-employment rule (IC 4-2-6-11). In my position as Director of OCRA, I signed a contract for services with the potential employer (IUCRE) for \$200,000 to advance mental health to rural Hoosiers through University partnership. The contract was executed in April of 2022, with an expiration date of December of 2023. Subsection (2) prohibits an employee from working for an employer with whom they were engaged in the negotiation or administration of a contract on behalf of any state agency and were in a position to make a discretionary decision affecting the outcome of the negotiation or nature of the administration. . As such, subsection (2) prohibits me from working for IU for 365 days after leaving state employment, unless I seek a post-employment waiver from my appointing authority.

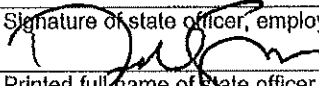
Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
 Effective immediately, Mr. Spinner will no longer act as the point of contact between OCRA and the Indiana University Center for Rural Engagement in the administration of the existing contract. Lt. Gov. Chief of Staff Anne Valentine will assume that responsibility.

Ms. Valentine has agreed that Mr. Spinner will be allowed to continue discussion with IU Center for Rural Engagement.

Ms. Valentine is preparing to submit a post-employment restriction waiver to the Ethics Commission to be presented at the Ethics Commission meeting on Nov. 9.

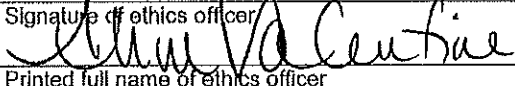
AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) 10/18/2023
Printed full name of state officer, employee or special state appointee Dennis W. Spinner	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer 	Date signed (month, day, year) 10/17/2023
Printed full name of ethics officer Anne Valentine	

Baker, Nathaniel P

From: Valentine, Anne
Sent: Wednesday, October 18, 2023 4:11 PM
To: IG Info
Cc: Spinner, Denny
Subject: COI - Decisions and Voting Statement
Attachments: Spinner Denny conflict of interest declaration 10 18 2023.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Please find the attached completed conflict of interest – decisions and voting statement for Denny Spinner.

Please let me know if there is any additional information you need.

Thank you,
Anne

ANNE VALENTINE • CHIEF OF STAFF

Office of Lt. Governor Suzanne Crouch • Statehouse, Room 333 • Indianapolis, IN 46204

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