



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
 State Form 55860 (R / 10-15)  
 OFFICE OF THE INSPECTOR GENERAL  
 IC 4-2-6-9

INDIANA  
 STATE ETHICS COMMISSION

AUG 25 2022

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Slaymon		Name (first) Shawn		Name (middle)	
Name of office or agency Indiana Department of Transportation- Environmental Services			Job title Stormwater Team Lead		
Address of office (number and street) 100 N Senate Ave			City Indianapolis		ZIP code 46204
Office telephone number ( 317 ) 417-3184		Office e-mail address (required) sslaymon@indot.in.gov			
Describe the conflict of interest: Shawn Slaymon is a Stormwater Team Lead for the Indiana Department of Transportation- Environmental Services. Shawn Slaymon recently entered employment negotiations with GAI Consultants, Inc. ("GAI") GAI has a business relationship with INDOT, including active contracts with the Department. Shawn Slaymon's employment negotiations with GAI therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.  Shawn Slaymon is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to GAI. Shawn Slaymon is subject to the particular matter restriction and will not assist future employers, including GAI, with any INDOT matter he personally and substantially participated in on behalf of the Department.  This disclosure was provided to Shawn Slaymon's appointing authority, Commissioner Michael J. Smith, on August 17, 2022. Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.					

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Formal screen was executed with INDOT's ethics officer preventing Shawn Slaymon from working with GAI Consultants in his capacity as an INDOT employee. Said screen prohibits Shawn Slaymon from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving GAI, or in which GAI has an interest. Shawn Slaymon is further screened from assisting any future employer, including GAI, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on August 17, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

August 17, 2022

Printed full name of state officer, employee or special state appointee

Shawn Slaymon

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

August 17, 2022

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR SHAWN SLAYMON**

August 17, 2022

**I. RECITALS**

WHEREAS, Shawn Slaymon is Stormwater Team Lead for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Shawn Slaymon has entered employment negotiations with GAI Consultants, Inc. (“GAI”); and

WHEREAS, GAI has a business relationship with INDOT, including active contracts; and

WHEREAS, as Stormwater Team Lead for INDOT, it is possible that Shawn Slaymon could participate in matters in which GAI has an interest as part of his regular duties; and

WHEREAS, Shawn Slaymon’s employment negotiations with GAI created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Shawn Slaymon is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including GAI, with any INDOT matter he personally and substantially participated in for the Department; and

WHEREAS, Shawn Slaymon may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.<sup>1</sup>

NOW THEREFORE, Shawn Slaymon, Stormwater Team Lead for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with GAI Consultants, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Shawn Slaymon must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

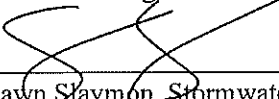
**II. CONFLICT OF INTEREST SCREEN**

1. Shawn Slaymon shall not participate in any decision or vote, or any matter related to such decision or vote, in which GAI has a financial interest.
2. Shawn Slaymon is screened from participating in any present or future contract or other matter involving GAI.
3. Shawn Slaymon shall not assist any future employers, including GAI, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Shawn Slaymon shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which GAI Consultants, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with GAI, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 17<sup>th</sup> day of August 2022, by:

  
\_\_\_\_\_  
Shawn Slaymon, Stormwater Team Lead  
Indiana Department of Transportation- Environmental Services

<sup>1</sup> State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

## **Baker, Nathaniel P**

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen (Shawn Slaymon)

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**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, August 25, 2022 12:37 PM  
**To:** Smith, Michael J <[Michael.Smith@indot.IN.gov](mailto:Michael.Smith@indot.IN.gov)>  
**Cc:** Shelby, Kate <[KShelby@indot.IN.gov](mailto:KShelby@indot.IN.gov)>; Slaymon, Shawn <[SSlaymon@indot.IN.gov](mailto:SSlaymon@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen (Shawn Slaymon)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Shawn Slaymon. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

**Christopher B. Serak,**  
*Director of Prequalification & Construction Compliance*  
*ADA/Title VI*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
Indiana Department of Transportation  
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