



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
 State Form 56860 (R / 10-15)  
 OFFICE OF THE INSPECTOR GENERAL  
 IC 4-2-6-9

INDIANA  
 STATE ETHICS COMMISSION

DEC 30 2021

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Shaw	Name (first) Steven	Name (middle) Jeremelh
Name of office or agency Indiana Department of Transportation- Seymour District		Job title Traffic Investigations Engineer
Address of office (number and street) 185 Agrico Lane		City Seymour
		ZIP code 47274
Office telephone number ( 812 ) 524-3756	Office e-mail address (required) jeshaw@indot.in.gov	

Describe the conflict of interest:  
 Jeremelh Shaw is a Traffic Investigations Engineer for the Indiana Department of Transportation (INDOT)- Seymour District.  
 Jeremelh Shaw has accepted an employment position with Kentuckiana Regional Planning & Development Agency ("KIPDA").  
 KIPDA has a business relationship with INDOT through the Department's administration of federal funds related to metropolitan transportation planning under the national FAST Act. Jeremelh Shaw's employment negotiations with KIPDA therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.  
 Jeremelh Shaw is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to KIPDA.  
 Jeremelh Shaw is subject to the particular matter restriction and will not assist future employers, including KIPDA, with any matter he personally and substantially participated in on behalf of INDOT.  
 This disclosure was provided to Jeremelh Shaw's appointing authority, Commissioner Joe McGuinness, on December 30, 2021.  
 Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).  
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Jeremeih Shaw from working with KIPDA

In his capacity as an INDOT employee. Said screen prohibits Jeremeih Shaw from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving KIPDA, or in which KIPDA has an interest. Jeremeih Shaw is further screened from assisting any future employer, including KIPDA, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on December 30, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

December 30, 2021

Printed full name of state officer, employee or special state appointee

S Jeremeih Shaw

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

**Isi: Christopher B Serak**

Date signed (month, day, year)

December 30, 2021

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR JEREMEIH SHAW**

December 30, 2021

**I. RECITALS**

WHEREAS, Jeremeih Shaw is a Traffic Investigations Engineer for the Indiana Department of Transportation (“INDOT” or “Department”)- Seymour District; and

WHEREAS, Jeremeih Shaw accepted a position with Kentuckiana Regional Planning & Development Agency (“KIPDA”); and

WHEREAS, KIPDA has a business relationship with INDOT; and

WHEREAS, as a Traffic Investigations Engineer, it is possible that Jeremeih Shaw could be assigned to work with KIPDA or to participate in matters in which KIPDA has an interest as part of his regular duties; and

WHEREAS, Jeremeih Shaw’s employment negotiations with KIPDA created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Jeremeih Shaw is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including KIPDA, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Jeremeih Shaw, an INDOT Traffic Investigations Engineer, for his remaining tenure with the Department, or until he discontinues employment negotiations with Kentuckiana Regional Planning & Development Agency, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Jeremeih Shaw must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

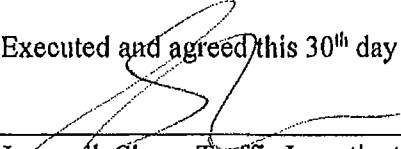
**II. CONFLICT OF INTEREST SCREEN**

1. Jeremeih Shaw shall not participate in any decision or vote, or any matter related to such decision or vote, in which Kentuckiana Regional Planning & Development Agency has a financial interest.
2. Jeremeih Shaw should be screened from participating in any present or future contract or other matter involving Kentuckiana Regional Planning & Development Agency.
3. Jeremeih Shaw shall not assist any future employers, including Kentuckiana Regional Planning & Development Agency, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Kentuckiana Regional Planning & Development Agency has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of employment negotiations with Kentuckiana Regional Planning & Development Agency, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of each subject matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 30<sup>th</sup> day of December 2021, by:

  
\_\_\_\_\_  
Jeremeih Shaw, Traffic Investigations Engineer  
Indiana Department of Transportation- Seymour District

## Baker, Nathaniel P

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen for Jeremeih Shaw

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**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, December 30, 2021 1:09 PM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Kennedy, Heather <[HKennedy@indot.IN.gov](mailto:HKennedy@indot.IN.gov)>; Shaw, Jeremeih <[JeShaw@indot.IN.gov](mailto:JeShaw@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen for Jeremeih Shaw

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Jeremeih Shaw. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Director of Prequalification & Construction Compliance*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
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