



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

FILED

APR 17 2023

INDIANA STATE
ETHICS COMMISSION

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Schamberger	Name (first) Corey	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Construction Project Engineer
Address of office (number and street) 5333 Hatfield Road		City Ft. Wayne
Office telephone number (260) 222-3910		ZIP code 46808
Office e-mail address (required) cschamberger@indot.IN.gov		

Describe the conflict of interest:
 Corey Schamberger is a Project Supervisor for the Indiana Department of Transportation- Ft. Wayne District.
 Corey Schamberger recently entered employment negotiations with Fox Contractors Corp ("Fox").
 Fox has a business relationship with INDOT, including active contracts with the Department.
 Corey Schamberger's employment negotiations with Fox therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Corey Schamberger worked with Fox on INDOT Contract R-39851, but was not in a position with requisite discretionary authority to subject him to the mandatory cooling off period (IC 4-2-6-11(b)). Corey Schamberger was removed from R-39851 before entering negotiations with Fox.
 Mr. Schamberger is subject to the particular matter restriction and will not assist future employers, including Fox, with any matter he personally and substantially participated in on behalf of INDOT, including R-39851.
 This disclosure was provided to Mr. Schamberger's appointing authority, Commissioner Michael J. Smith, on April 17, 2023.
 Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Formal screen was executed with INDOT ethics officer preventing Corey Schamberger from working with Fox Contractors in his capacity as an INDOT employee. Said screen prohibits Corey Schamberger from exercising any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Fox Contractors, or in which Fox has an interest. Corey Schamberger is further screened from assisting any future employer, including Fox, with any matter in which he personally and substantially participated while employed by INDOT.

The screen was executed on April 17, 2023, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Corey Schamberger

Date signed *(month, day, year)*

April 17, 2023

Printed full name of state officer, employee or special state appointee

Corey Schamberger

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

cf: Christopher B. Serak

Date signed *(month, day, year)*

April 17, 2023

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR COREY SCHAMBERGER

April 17, 2023

I. RECITALS

WHEREAS, Corey Schamberger is a Construction Project Engineer for the Indiana Department of Transportation- Ft. Wayne District (“INDOT” or “Department”); and

WHEREAS, Corey Schamberger entered employment negotiations with Fox Contractors Corp (“Fox”); and

WHEREAS, Fox has a business relationship with INDOT, including active contracts; and

WHEREAS, as a Construction Project Engineer for INDOT, it is possible that Corey Schamberger could participate in matters in which Fox has an interest as part of his regular duties; and

WHEREAS, Corey Schamberger’s employment negotiations with Fox created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Corey Schamberger is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including Fox, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Corey Schamberger may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Corey Schamberger, Construction Project Engineer for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with Fox Contractors Corp, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Corey Schamberger must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

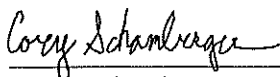
II. CONFLICT OF INTEREST SCREEN

1. Corey Schamberger shall not participate in any decision or vote, or any matter related to such decision or vote, in which Fox has a financial interest.
2. Corey Schamberger is screened from participating in any present or future contract or other matter involving Fox.
3. Corey Schamberger shall not assist any future employers, including Fox, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Corey Schamberger shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Fox Contractors Corp has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Fox, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 17th day of April 2023, by:



Corey Schamberger, Construction Project Engineer
Indiana Department of Transportation- Ft. Wayne

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Corey Schamberger)

From: Serak, Chris (INDOT)
Sent: Monday, April 17, 2023 2:16 PM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Shelby, Kate <KShelby@indot.IN.gov>; Schamberger, Corey <cschamberger@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Corey Schamberger)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Corey Schamberger. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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