



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
 State Form 55860 (R / 10-15)  
 OFFICE OF THE INSPECTOR GENERAL  
 IC 4-2-6-9

INDIANA  
 STATE ETHICS COMMISSION

JAN 5 2022

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Ready	Name (first) Lara	Name (middle)	
Name of office or agency Indiana Department of Transportation- LaPorte District		Job title Project Engineer	
Address of office (number and street) 315 East Boyd Boulevard		City LaPorte	ZIP code 46350
Office telephone number ( 219 ) 809-8360	Office e-mail address (required) lready@indot.IN.gov		

Describe the conflict of interest:

Lara Ready is a Project Engineer for the Indiana Department of Transportation (INDOT)- LaPorte District.

Lara Ready has accepted an employment position with RQAW Corporation ("RQAW").

RQAW has a business relationship with INDOT, including active contracts with the Department.

Lara Ready's employment negotiations with RQAW created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Lara Ready is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to RQAW.

Lara Ready is subject to the particular matter restriction and will not assist future employers, including RQAW, with any matter she personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Lara Ready's appointing authority, Commissioner Joe McGuinness, on December 30, 2021.

Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Lara Ready from working with RQAW in her capacity as an INDOT employee. Said screen prohibits Lara Ready from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving RQAW or in which RQAW has an interest. Lara Ready is further screened from assisting any future employer, including RQAW with a matter in which she personally and substantially participated in while employed by INDOT.

The screen was executed on December 30, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee <i>Lara Ready</i>	Date signed <i>(month, day, year)</i> December 30, 2021
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Printed full name of state officer, employee or special state appointee  
Lara Ready

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer <i>Christopher B. Serak</i>	Date signed <i>(month, day, year)</i> December 30, 2021
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Printed full name of ethics officer  
Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR LARA READY**

**December 30, 2021**

**I. RECITALS**

WHEREAS, Lara Ready is a Project Engineer for the Indiana Department of Transportation- LaPorte District (“INDOT” or “Department”); and

WHEREAS, Lara Ready has accepted a position with RQAW Corporation (“RQAW”); and

WHEREAS, RQAW has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Engineer for INDOT, it is possible that Lara Ready could be assigned to work with RQAW or to participate in matters in which the company has an interest as part of her regular duties; and

WHEREAS, Lara Ready’s employment negotiations with RQAW created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Lara Ready is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including RQAW, with any matter she personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Lara Ready, a Project Engineer for the LaPorte District, for her remaining tenure with the Department, or until she discontinues employment negotiations with RQAW Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Lara Ready must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

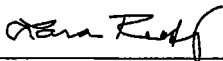
**II. CONFLICT OF INTEREST SCREEN**

1. Lara Ready shall not participate in any decision or vote, or any matter related to such decision or vote, in which RQAW Corporation has a financial interest.
2. Lara Ready should be screened from participating in any present or future contract or other matter involving RQAW Corporation.
3. Lara Ready shall not assist any future employers, including RQAW Corporation, with any matter she personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which RQAW Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with RQAW, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 30<sup>th</sup> day of December 2021, by:



\_\_\_\_\_  
Lara Ready, Project Engineer

Indiana Department of Transportation- LaPorte District

**Baker, Nathaniel P**

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen for Lara Ready

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**From:** Serak, Chris (INDOT)  
**Sent:** Wednesday, January 5, 2022 10:37 AM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Kennedy, Heather <[HKennedy@indot.IN.gov](mailto:HKennedy@indot.IN.gov)>; Ready, Lara <[LREADY@indot.IN.gov](mailto:LREADY@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen for Lara Ready

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Lara Ready. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Director of Prequalification & Construction Compliance*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
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