



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

MAY 16 2023

**INDIANA STATE
ETHICS COMMISSION**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Read	Name (first) Bill	Name (middle)	
Name of office or agency Indiana Department of Transportation- Seymour		Job title Utility and Railroad Engineer	
Address of office (number and street) 185 Agrico Ln		City Seymour	ZIP code 47274
Office telephone number (812) 524-3966	Office e-mail address (required) bread@indot.IN.gov		

Describe the conflict of interest:
Bill Read is a Utility & Railroad Engineer for the Indiana Department of Transportation- Seymour District.

Bill Read recently accepted appointment to the Eastern Bartholomew Water Corporation ("EBWC") utility board.

EBWC occasionally has utility facilities located within INDOT project limits requiring relocation or other coordination with the Department.

Bill Read's position with INDOT includes utility coordination, including negotiating relocations with impacted utilities.

Therefore, a potential conflict of interest under C 4-2-6-9 was created by Mr. Read's service on the EBWC utility board, requiring this disclosure and a formal screen mitigating the potential conflict.

This disclosure was provided to Bill Read's appointing authority, Commissioner Michael J. Smith, on May 10, 2023.

Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT ethics officer preventing Bill Read from participating in matters in which the Eastern Bartholomew Water Corporation has an interest for the duration of his service on the EBWC utility board. Said screen prohibits Bill Read from participating in any decision or vote, or matter related to such decision or vote, in which EBWC has an interest. Specifically, Mr. Read shall not participate in any utility coordination activity directly impacting or otherwise involving EBWC or an EBWC facility. Bill Read's supervisor shall assign another employee of the Seymour District to handle all matters within Mr. Read's workflow involving an EBWC facility. Bill Read shall likewise recuse himself from all EBWC utility board business in which INDOT has an interest or which impacts the interests of the Department.

The screen was executed on May 10, 2023, a copy of which was sent to Commissioner Michael J. Smith along this disclosure

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

C. William Read II

Date signed (month, day, year)

May 10, 2023

Printed full name of state officer, employee or special state appointee

Bill Read

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

cf: Christopher B. Serak

Date signed (month, day, year)

May 10, 2023

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR BILL READ

May 10, 2023

I. RECITALS

WHEREAS, Bill Read is a Utility & Railroad Engineer for the Indiana Department of Transportation- Seymour District (“INDOT” or “Department”); and

WHEREAS, Bill Read has accepted appointment to the Eastern Bartholomew Water Corporation (“EBWC”) utility board; and

WHEREAS, EBWC occasionally has utility facilities located within INDOT project limits requiring relocation or other coordination with the Department; and

WHEREAS, as a Utility & Railroad Engineer for INDOT, it is possible that Bill Read could be assigned to resolve utility coordination activities in which EBWC has an interest as part of his regular duties; and

WHEREAS, Bill Read’s service on the EBWC utility board created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Bill Read may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or the EBWC utility board.¹

NOW THEREFORE, Bill Read, Utility & Railroad Engineer for INDOT, for the duration of his service on the Eastern Bartholomew Water Corporation utility board, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

II. CONFLICT OF INTEREST SCREEN

1. Bill Read shall not participate in any decision or vote, or any matter related to such decision or vote, in which EBWC has an interest.
2. Bill Read is screened from participating in any present or future utility coordination activities involving EBWC facilities or other matter involving the EBWC.
3. Bill Read shall recuse himself from all EBWC utility board business or discussion in which INDOT has an interest or which impact the operations or interests of the Department.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Bill Read shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4 in his service on the EBWC utility board.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Eastern Bartholomew Water Corporation has an interest, including all utility coordination activities involving EBWC facilities. I understand that the terms of this screen terminate upon my discontinuation of service on the EBWC utility board. I further understand that my primary duty is to INDOT and the State of Indiana and shall strictly adhere to my obligations under this screen and the Indiana Code of Ethics in serving the EBWC utility board. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 10th day of May 2023, by:

/s/: C. William Read II

Bill Read, Utility & Railroad Engineer
Indiana Department of Transportation- Seymour

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Bill Read)

From: Serak, Chris (INDOT)
Sent: Tuesday, May 16, 2023 11:12 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Shelby, Kate <KShelby@indot.IN.gov>; Read, Bill <bread@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Bill Read)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Bill Read in relation to his appointment to the utility board for the Eastern Bartholomew Water Corporation (EBWC). The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

As outlined in the documents, EBWC occasionally has business with INDOT due to having facilities located within the limits of a project or otherwise within agency right of way. Mr. Read has been affirmatively screened from all utility coordination activities involving EBWC, as well as participating in any EBWC business in which INDOT has an interest or which impacts or relates to INDOT operations.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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