

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Melissa Patton from working with Rieth-Riley in her capacity as an INDOT employee. Said screen prohibits Melissa Patton from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Rieth-Riley or in which Rieth-Riley has an interest. Melissa Patton is further screened from assisting any future employer, including Rieth-Riley, with a matter in which she personally and substantially participated in while employed by INDOT.

The screen was executed on October 18, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed *(month, day, year)*

Melissa Patton

October 18, 2021

Printed full name of state officer, employee or special state appointee

Melissa Patton

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed *(month, day, year)*

/s/ Christopher B. Serak

October 18, 2021

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR MELISSA PATTON

October 18, 2021

I. RECITALS

WHEREAS, Melissa Patton is a Project Manager for the Indiana Department of Transportation- Crawfordsville District (“INDOT” or “Department”); and

WHEREAS, Melissa Patton has accepted a position with Rieth-Riley Construction Co. (“Rieth-Riley”); and

WHEREAS, Rieth-Riley has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Manager for INDOT, it is possible that Melissa Patton could be assigned to work with Rieth-Riley or to participate in matters in which the company has an interest as part of her regular duties; and

WHEREAS, Melissa Patton’s employment negotiations with Rieth-Riley created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Melissa Patton is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Rieth-Riley, with any matter she personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Melissa Patton, a Project Manager, for her remaining tenure with the Department, or until she discontinues employment negotiations with Rieth-Riley Construction Co., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Melissa Patton must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

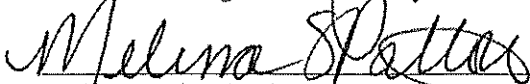
II. CONFLICT OF INTEREST SCREEN

1. Melissa Patton shall not participate in any decision or vote, or any matter related to such decision or vote, in which Rieth-Riley has a financial interest.
2. Melissa Patton should be screened from participating in any present or future contract or other matter involving Rieth-Riley.
3. Melissa Patton shall not assist any future employers, including Rieth-Riley, with any matter she personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Rieth-Riley Construction Co. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Rieth-Riley, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 18th day of October 2021, by:



Melissa Patton, Project Manager

Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Melissa Patton)

From: Serak, Chris (INDOT)
Sent: Monday, October 18, 2021 2:59 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Patton, Melissa <MPatton@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Melissa Patton)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Melissa Patton. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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