

MAY 08 2026

INDIANA STATE
ETHICS COMMISSION
**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**

 State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Newton	Name (first) Rick	Name (middle)
Name of office or agency Indian Department of Transportation		Job title Office Area Engineer
Address of office (number and street) 315 East Boyd Boulevard		City LaPorte, Indiana
		ZIP code 46350
Office telephone number (219) 214-2887	Office e-mail address (required) rinewton@indot.in.gov	
Describe the conflict of interest:		
Rick Newton is an Office Area Engineer with the Indiana Department of Transportation (INDOT) - LaPorte District.		
He has been in that role for 3.5 years, and with INDOT for over 14 years.		
Newton has an interview scheduled with the HNTB for a Construction Office Manager/Team Leader Position		
on _____ HNTB is a consulting firm that has a business relationship with INDOT that includes active contracts.		
Newton's employment negotiations with HNTB therefore create a potential decisions and voting conflict of interest		
as defined by IC 4-2-6-9. This disclosure is therefor being filed in anticipation of that potential conflict.		
Newton has had minor coordination with this firm during his time at INDOT, which includes the attendance of HNTB		
employees at district-wide construction conferences that were run by Newton. Newton has scored letters of interest (LOIs),		
but he has not scored any for HNTB in over year. He also oversees FCR personnel, who perform evaluations on consultants.		
He does not evaluate the consultants, but he approves evaluations for ensure consistency and fairness.		
Newton is subject to the particular matter restriction and will not assist future employers, including HNTB, with		
any matter he personally and substantially participated in on behalf of INDOT.		
This disclosure was provided to Mr. Newton's appointing authority, Commissioner Lyndsay Quist, on May 7, 2026.		
Evidence of said disclosure to Commissioner Quist is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).		

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

Pursuant to I.C 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven (7) days of the potential conflict being identified by the below signed ethics officer.

A formal screen was executed with the INDOT ethics officer preventing Rick Newton from working with HNTB in his capacity as an INDOT employee. Said screen prohibits Rick Newton from exercising any of his official duties or participating in any decision or vote, or matter related to such decision or vote, involving HNTB or in which HNTB has an interest. Rick Newton is further screened from assisting any future employer, including HNTB, with any matter in which he personally and substantially participated in while employed at INDOT.

This screen was executed on May 8, 2026, a copy of which was sent to Commissioner Quist, along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Rick Newton

Date signed (month, day, year)

05/07/2026

Printed full name of state officer, employee or special state appointee

Rick Newton

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Chris Devlin

Date signed (month, day, year)
05/08/2026

Printed full name of ethics officer

Chris Devlin

CONFLICT OF INTEREST SCREEN FOR RICK NEWTON

May 4, 2026

I. RECITALS

WHEREAS, Rick Newton is Office Area Engineer for the Indiana Department of Transportation ("INDOT" or "Department") LaPorte District; and

WHEREAS, Newton has recently stated his intention to interview for a position with HNTB; and

WHEREAS, HNTB has a business relationship with INDOT, including active contracts; and

WHEREAS, as an Office Area Engineer for INDOT, it is possible that Newton could be assigned to participate in matters in which HNTB has an interest as part of his regular duties; and

WHEREAS, Newton's employment negotiations with HNTB create a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Newton is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including HNTB, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Newton may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Rick Newton, Office Engineer for INDOT – LaPorte, for his remaining tenure with INDOT, or until he discontinues employment negotiations with HNTB, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Newton must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

II. CONFLICT OF INTEREST SCREEN

1. Rick Newton shall not participate in any decision or vote, or any matter related to such decision or vote, in which HNTB has a financial interest.
2. Rick Newton is screened from participating in any present or future contract or other matter involving HNTB.
3. Rick Newton shall not assist any future employers, including HNTB, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Rick Newton shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HNTB has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HNTB, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 7th day of May, 2026, by:

Rick Newton

Rick Newton – Office Area Engineer
Indiana Department of Transportation – LaPorte District

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Garrigus, Adam

From: Devlin, Chris
Sent: Friday, May 8, 2026 10:57 AM
To: Quist, Lyndsay
Cc: Millman, Marjorie; Boyer, James
Subject: Ethics Filing
Attachments: Signed COI Screening - Newton.pdf; Ethics Disclosre Statement - COI- Decision and Voting - Newton - Signed.pdf

Commissioner Quist,

Under 42 IAC 1-5-6(b)(2)(D), this email serves to notify you that a conflict-of-interest disclosure statement and screening document will be filed with the State Ethics Commission for Rick Newton – Office Area Engineer for the INDOT LaPorte District. Under the Indiana Administrative Code, the appointing authority must be notified of any potential conflict of interest, as well as the screening and disclosure process.

Please let me know if you have any questions.

Thank you,

Chris Devlin

Senior Attorney, Legal Services Division

Indiana Department of Transportation

Central Office

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Email: CDevlin@indot.IN.gov

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