

JUN 09 2025

INDIANA STATE
ETHICS COMMISSION

Name <i>(last)</i> Mullen	Name <i>(first)</i> Dennis	Name <i>(middle)</i>	
Name of office or agency Indiana Gaming Commission		Job title General Counsel	
Address of office <i>(number and street)</i> 101 W. Washington St., STE 1600		City Indianapolis	ZIP code 46204
Office telephone number (317) 233-0045		Office e-mail address <i>(required)</i> demullen@igc.in.gov	

Dennis Mullen is General Counsel for the Indiana Gaming Commission (IGC).

Mr. Mullen is negotiating employment with a law firm, Greenberg Traurig, LLP. (Greenberg)

As part of his duties with the IGC, Mr. Mullen provides legal input on potential disciplinary matters.

An alleged regulatory violation occurred with one of IGC's supplier licensees, Ainsworth Game Tech., LTD. (Ainsworth)

IGC sent Ainsworth a notice regarding the alleged violation of IGC rules. In response to the Notice,

Greenberg responded to the IGC's Director of Compliance providing mitigating information that

client Ainsworth wanted the IGC to consider. This is a standard part of the disciplinary process of the IGC.

68 IAC 28-2-3. The IGC's Director of Compliance forwarded Ainsworth's response to Mr. Mullen

and the IGC's Deputy Director to determine whether the potential fine should be reduced

or removed based on the information provided. Mr. Mullen did not provide input on the decision.

While this disclosure may not be strictly required by the statute because the disciplinary case involves

a client of Greenberg rather than Greenberg itself, the filing of the disclosure statement and

screening of Mr. Mullen from matters in which the firm's clients would have a financial interest

promotes transparency and compliance with the spirit of the rule.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Mr. Mullen will be screened from participating in any matter in which Greenberg is representing a client's position

in front of the IGC and requires Mr. Mullen to provide his recommendation on a future decision or vote of the commission.

This screen has been communicated to the Commission's Director of Compliance and the Commission's Deputy General Counsel.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

/s Dennis Mullen

Date signed (month, day, year)

May 30, 2025

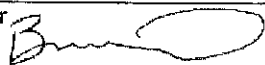
Printed full name of state officer, employee or special state appointee

Dennis Mullen

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

May 29, 2025

Printed full name of ethics officer

Robert Joseph Opat

Garrigus, Adam

From: Mullen, Dennis E
Sent: Monday, June 9, 2025 3:30 PM
To: Hoage, Joseph B (IGC)
Cc: Opat, Robert J (Bob); Perrodin, Regan (IG)
Subject: Conflict of Interest Disclosure Notification
Attachments: Ethics Disclosure Statement (DEM notice - signed).pdf

Executive Director Hoage,

Please find attached to this email my notice of conflict of interest that was filed with the OIG. This disclosure is a result of the notice that I provided you and IGC Ethics Officer Bob Opat with via email on May 27, 2025, the same day the potential conflict was identified. Please let me know if you have any questions,

Dennis



Dennis Mullen

General Counsel

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