

**MINUTES OF THE MEETING OF
THE INDIANA STATE ETHICS COMMISSION
November 14, 2024**

I. Call to Order

A regular meeting of the State Ethics Commission (Commission) was called to order at 10:00 a.m. Commission members present were Katherine Noel, Chair; Corinne Finnerty; John Krauss; and Sue Anne Gilroy. Office of Inspector General (OIG) staff present included David Cook, Inspector General; Regan Perrodin, State Ethics Commission Director; Mark Mitchell, Director of Investigations; Elaine Vullmahn, Senior Attorney; Will Deane, Staff Attorney; Teresa Henson, Director of Administration; Mike Lepper, Special Agent; Sam Stearley, Special Agent; Jason Fajt, Special Agent; and Nathan Baker, Legal Assistant.

Others present were David Rosenberg, Indiana Secretary of Commerce; Mattheus Mitchel, Compliance and Ethics Specialist, Indiana Department of Revenue; Josh Brandle, Paralegal, Indiana Department of Revenue; Sean Evans, Legal Analyst, Indiana Department of Revenue; Matthew Gerber, Deputy General Counsel, Family and Social Services Administration; Amber Nicole Ying, Ethics Officer, Indiana Department of Revenue; Elliott Anderson, Chief of Staff, Indiana Department of Correction; Deanna Dwenger, Executive Director of Behavioral Health, Indiana Department of Correction; Erin Sweitzer, Deputy Chief of Staff, Indiana Economic Development Corporation; Phil Fowler, General Counsel, Indiana Economic Development Corporation; Andrew Lang, Deputy General Counsel, Indiana Economic Development Corporation; and Kimberly Hoffman, VP of Marketing and Events, Indiana Economic Development Corporation.

II. Adoption of Agenda and Approval of Minutes

Commissioner Krauss moved to adopt the agenda. Commissioner Gilroy seconded the motion, and the Commission passed the agenda (4-0).

Commissioner Gilroy moved to approve the Minutes of the October 10, 2024, Commission Meeting, and Commissioner Krauss seconded the motion, which passed (4-0).

III. Consideration of Waiver of Post-Employment Restrictions for David Rosenberg

Joseph Heerens, General Counsel and Ethics Officer for the Indiana Office of the Governor, presented the proposed Waiver of Post-Employment Restrictions in this matter to the Commission for their approval.

Upon proposal and discussion, Secretary Rosenberg withdrew the Waiver from consideration.

IV. Consideration of Waiver of Post-Employment Restrictions for Kimberly Hoffman

David Rosenberg, Indiana Secretary of Commerce and Appointing Authority for the Indiana Economic Development Corporation, presented the proposed Waiver of Post-Employment Restrictions in this matter to the Commission for their approval.

Commissioner Krauss moved to approve the Waiver, and Commissioner Finnerty seconded the motion, which passed (4-0).

V. Consideration of Waiver of Post-Employment Restrictions for Deanna Dwenger

The matter had previously been submitted as a request for Formal Advisory Opinion, which the requesting party withdrew in favor of the submitted Post-Employment Waiver.

Elliott Anderson, Chief of Staff for the Indiana Department of Correction, presented the proposed Waiver of Post-Employment Restrictions in this matter to the Commission for their approval.

Commissioner Krauss moved to approve the Waiver, and Commissioner Finnerty seconded the motion, which passed (4-0).

VI. Consideration of 2025 Public Meeting Dates

The Commission next considered proposed dates for the 2025 State Ethics Commission Public Meeting Dates. The dates have previously been set on the second Thursday of each month automatically.

Commissioner Finnerty moved for approval of the proposed 2025 meeting dates with no proposed changes. Commission Chair Noel seconded the motion, which passed (4-0).

VII. State Ethics Director's Report

Regan Perrodin, State Ethics Commission Director, provided the following information to the State Ethics Commission:

There have been some staffing changes at OIG. We have brought on Will Deane as our new Staff Attorney. Will is recently graduated from the IU McKinney School of Law.

Additionally, OIG's long time Director of Administration, Cindy Scruggs, is retiring from the OIG and State employment in December. We have hired Teresa Henson to train with Cindy in a transition period and she will take over as Director of Administration upon Cindy's leave.

The OIG has issued 18 Informal Advisory Opinions (IAOs) since the October Ethics Commission meeting, mostly relating to issues on post-employment, conflicts of interests, and outside employment, and gifts.

The OIG's Legal and Ethics Conference is scheduled for the afternoon of November 19, 2024. The Conference has been approved for three hours of attorney ethics CLE credit. More information regarding the conference is on our website.

VIII. Adjournment

Commissioner Krauss moved to adjourn the public meeting of the State Ethics Commission. Commissioner Gilroy seconded the motion, which passed (4-0).

The public meeting adjourned at 10:35 a.m.