

**MINUTES OF THE MEETING OF
THE INDIANA STATE ETHICS COMMISSION
September 13, 2018**

I. Call to Order

A regular meeting of the State Ethics Commission (“Commission”) was called to order at 10:00 a.m. Commission members present included Katherine Noel, Acting Chair; Corinne Finnerty; and Sue Anne Gilroy. Staff present included Jennifer Cooper, Ethics Director; Lori Torres, Inspector General; Heidi Adair, Staff Attorney; Tiffany Mulligan, Chief Legal Counsel; and Celeste Croft, Legal Assistant, Office of Inspector General.

Others present were Gina Williams, Deputy Director, Department of Financial Institutions; Deana Smith, Attorney/Ethics Officer, State Department of Health; Jared Prentice, Compliance and Ethics Director, Department of Revenue; Mattheus Mitchel, Compliance & Ethics Specialist, Department of Revenue; Ryan Black, Deputy Director, Department of Financial Institutions; Nicole Buskill, General Counsel, Department of Financial Institutions; Claire Szpara, Staff Attorney, Department of Insurance; Brock Herr, Director of Account Management and Counsel, Economic Development Corporation; Manda Clevenger, Attorney, State Department of Health; and Michelle Stanley, Attorney, State Board of Accounts.

II. Adoption of Agenda and Approval of Minutes

Commissioner Gilroy moved to adopt the Agenda and Commissioner Finnerty seconded the motion which passed (3-0). Commissioner Gilroy moved to approve the Minutes of the August 9, 2018 Commission Meeting and Commissioner Noel seconded the motion which passed (2-0). Commissioner Finnerty abstained from the vote, as she was not present at the August 9, 2018 Commission Meeting.

**III. Consideration of Post-Employment Restrictions Waiver
For Ryan Black, Deputy Director
Presented by Nicole Buskill, General Counsel/Ethics Officer
Department of Financial Institutions**

Nicole Buskill, General Counsel and Ethics Officer, presented a post-employment waiver on Ryan Black’s behalf. Mr. Black currently serves as the Deputy Director of Consumer Credit Division for the Department of Financial Institutions. Mr. Black came before the Commission to obtain permission to waive the cooling off period of the post-employment rule as it related to his potential employment with OneMain Financial (OMF). At OMF, Mr. Black would serve as the Vice President/Director of Government Relations, requiring him to present company views to state and national legislators and promote a better understanding of OMF’s business with the opinion forming portion of the business community through direct and personal contact; represent and advocate for OMF in front of regulators, legislators, and other key policymakers and

constituencies; track legislation, regulations, and trends that impact OMF; develop and execute strategies that effect legislation and regulations impacting OMF; develop relationships with legislators and Commissioners of Banking and Insurance; work with associations related to OMF; and prepare budgets for the southeast and Midwest portions of the country, excluding Indiana. Mr. Black would not be required to have contact with the State of Indiana nor the Department of Financial Institutions, as another OMF employee is responsible for this territory. The Commission believed that Mr. Black had complied with the requirements of the waiver and that he thoroughly understood the post-employment restrictions rule regarding the cooling off period and how it applied to his potential post-employment opportunity. Based on the aforementioned, Commissioner Gilroy moved to approve the Post-Employment Restrictions Waiver and Commissioner Finnerty seconded the motion which passed (3-0).

VII. Director's Report

State Ethics Director, Jen Cooper, reported that 33 informal advisory opinions had been issued since the last Commission meeting, mostly dealing with conflicts of interest, post-employment restrictions, outside employment/moonlighting, and gifts.

Lastly, Ms. Cooper announced that the Office of Inspector had hired a new Staff Attorney, Heidi Adair, a recent Indiana University Robert H. McKinney School of Law Graduate, who began working August 22, 2018.

VIII. Adjournment

Commissioner Finnerty moved to adjourn the public meeting of the State Ethics Commission and Commissioner Gilroy seconded the motion, which passed (3-0).

The public meeting adjourned at 10:20 a.m.