



STATE OF INDIANA

INDIANA
STATE ETHICS COMMISSION

APR 27 2017

ERIC J. HOLCOMB, GOVERNOR

FILED
Indiana Department of Insurance

Stephen W. Robertson, Commissioner
311 W. Washington Street, Suite 103
Indianapolis, Indiana 46204-2787
Telephone: 317-232-2385
Fax: 317-232-5251
Website: in.gov/idoi

Agency Name: Indiana Department of Insurance

Recipient Name: Linda McKinney

Recipient Title: Policy Analyst

Brief Description of Item Solicited, Accepted or Received and Approximate Value: Baldwin & Lyons company-sponsored events: a dinner with entertainment on the first night, a cocktail reception on the second night, and Indy 500 race tickets in the stands on the third day. It is difficult to approximate a value but estimating a dinner cost of \$50, a cocktail reception at \$30, and a race ticket for \$110 brings the total to \$190.

Date of Receipt: May 26, 27, and 28 of 2017

Name of Donor: Baldwin & Lyons

Donor's Address: 111 Congressional Blvd. Suite 500 Carmel, IN 46032

Nature of Business Relationship with Agency: Baldwin & Lyons is the parent company of Protective Insurance and Sagamore Insurance who are commercial insurance carriers regulated by the Indiana Department of Insurance ("IDOI").

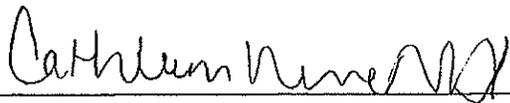
Why Acceptance is in the Public Interest: Accepting this waiver is in the public interest for several reasons. First, Recipient's attendance at the events was not sought out by the Donor and she is only attending the events by virtue of being the spouse of a Baldwin & Lyons employee. The events are meant for relationship building with the Donor's clients, and spouses are expected to attend. Therefore, it is in the public interest for state employees and all Hoosiers that the state is not constraining the free association of one spouse supporting another spouse at a work event, thus, interfering with Recipient's social and family relationships.

Second, while the gift rule, 42 I.A.C. 1-5-1, appears to apply to this situation because Recipient is receiving entertainment, food, and race tickets, the likelihood that Recipient's attendance would impact the relationship between the IDOI and the Donor is negligible. Donor is most likely not even aware that Recipient is a regulator considering that she is attending as her husband's guest. Third, Recipient herself is not involved in any regulatory decisions regarding Donor, as her Policy Analyst duties do not include reviewing Protective and Sagamore's insurance policies, nor, does she review policies for any commercial insurance. In summary, it is in the public interest for Recipient to attend because the likelihood that Recipient attending these

ACCREDITED BY THE NATIONAL ASSOCIATION OF INSURANCE COMMISSIONERS

AGENCY SERVICES 317-232-2413 COMPANY COMPLIANCE 317-232-3495 CONSUMER SERVICES 317-232-2395/1-800-622-4461 FINANCIAL SERVICES 317-232-2390 MEDICAL MALPRACTICE 317-232-2402 COMPANY RECORDS 317-232-5692 STATE HEALTH INSURANCE PROGRAM 1-800-452-4800

events would impact the public in any way is very remote and Recipient's ability, as a Policy Analyst, to favor Donor in the course of her policy review duties is attenuated.



Cathleen Nine-Altevogt, IDOI Ethics Officer



Date

Croft, Celeste

From: Ninealtevogt, Cathleen
Sent: Thursday, April 27, 2017 1:25 PM
To: IG Info
Subject: Gift Waiver for Linda McKinney at IDOI
Attachments: 20170427132143715.pdf

Good afternoon:

I am filing this attached gift waiver on behalf of Indiana Department of Insurance employee, Linda McKinney. Please contact me with any questions or concerns.

Best,

Cathleen Nine-Altevogt
Attorney and Ethics Officer
Indiana Department of Insurance
317-234-5887