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JUL 21 2023

INDIANA STATE ETHICS COMMISSION



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Markley	Name (first) Travis	Name (middle)
Name of office or agency Indiana Department of Transportation- Ft. Wayne District		Job title Project Inspector
Address of office (number and street) 5333 Hatfield Road		City Ft. Wayne
		ZIP code 46808
Office telephone number (260) 258-0211	Office e-mail address (required) TMarkley@indot.IN.gov	
Describe the conflict of interest: Travis Markley is a Project Inspector for the Indiana Department of Transportation- Ft. Wayne District.		
Travis Markley recently accepted employment with R.L. McCoy, Inc., a company that has a business relationship with INDOT, including active contracts with the Department. Travis Markley's employment negotiations with R.L. McCoy therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.		
As a Project Inspector, Travis Markley is not subject to the mandatory cooling off period (IC 4-2-6-11(b)). However Travis Markley is assigned Project Inspector on INDOT Contract No. B-41548 and must be screened from further contact with said matter. Likewise, Travis Markley is subject to the particular matter restriction and may not assist any future employers, including R.L. McCoy with any matter he personally and substantially participated in on behalf of INDOT. This disclosure was provided to Mr. Markley's appointing authority, Commissioner Michael J. Smith, on July 21, 2023. Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.		

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT ethics officer preventing Travis Markley from working with R.L. McCoy, Inc. in his capacity as an INDOT employee. Said screen prohibits Travis Markley from exercising any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving R.L. McCoy, or in which R.L. McCoy has an interest. Travis Markley is further screened from assisting any future employer, including R.L. McCoy, with any matter in which he personally and substantially participated while employed by INDOT. Travis Markley is specifically screened from further participation in INDOT Contract B-41548 during his remaining tenure with INDOT, or until he terminates negotiations with R.L. McCoy.

The screen was executed on July 21, 2023, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee <i>Travis Markley</i>	Date signed (month, day, year) July 21, 2023
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Printed full name of state officer, employee or special state appointee
Travis Markley

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer <i>[s/ Christopher B. Serak</i>	Date signed (month, day, year) July 21, 2023
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Printed full name of ethics officer
Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR TRAVIS MARKLEY

July 21, 2023

I. RECITALS

WHEREAS, Travis Markley is Project Inspector for the Indiana Department of Transportation- Ft. Wayne District ("INDOT" or "Department"); and

WHEREAS, Travis Markley recently accepted employment with R.L. McCoy, Inc.; and

WHEREAS, R.L. McCoy has a business relationship with INDOT, including active contracts; and

WHEREAS, as Project Inspector for INDOT, it is possible that Travis Markley could participate in matters in which R.L. McCoy has an interest as part of his regular duties; and

WHEREAS, Travis Markley's employment negotiations with R.L. McCoy created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Travis Markley is assigned to serve as Project Inspector for INDOT Contract No. B-41548, for which R.L. McCoy is prime contractor, and must therefore be proactively screened from further participation in said matter; and

WHEREAS, Travis Markley is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including R.L. McCoy, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Travis Markley may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Travis Markley, Project Inspector for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with R.L. McCoy, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Travis Markley must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

II. CONFLICT OF INTEREST SCREEN

1. Travis Markley shall not participate in any decision or vote, or any matter related to such decision or vote, in which R.L. McCoy has a financial interest, including INDOT Contract No. B-41548.
2. Travis Markley is screened from participating in any present or future contract or other matter involving R.L. McCoy, including INDOT Contract No. B-41548.
3. Travis Markley shall not assist future employers, including R.L. McCoy, with any matter he personally and substantially participated in as an INDOT employee. This restriction applies for the life of the matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Travis Markley shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which R.L. McCoy, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with R.L. McCoy, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor and will specifically maintain strict separation from any decision or vote, or matter related to such decision or vote, pertaining to INDOT Contract No. B-41548.

Executed and agreed this 21st day of July 2023, by:

Travis Markley

Travis Markley, Project Inspector
Indiana Department of Transportation- Ft. Wayne District

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Travis Markley)

Good Afternoon

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure and internal screen executed by INDOT employee Travis Markley. The same was provided to the employee's appointing authority, INDOT Commissioner Mr. Michael J. Smith. Said disclosure is included below as part of this email.

Thank you very much,

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*

From: Serak, Chris (INDOT)
Sent: Friday, July 21, 2023 1:33 PM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Shelby, Kate <KShelby@indot.IN.gov>; Markley, Travis W <TMarkley@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Travis Markley)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Travis Markley. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer

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