

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT ethics officer preventing Scott Manning from working with HDR Engineering in his capacity as an INDOT employee. Said screen prohibits Scott Manning from exercising any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HDR, or in which HDR has an interest. Scott Manning is further screened from assisting any future employer, including HDR, with any matter in which he personally and substantially participated while employed by INDOT.

The screen was executed on July 18, 2023, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Scott Manning

Date signed *(month, day, year)*

July 18, 2023

Printed full name of state officer, employee or special state appointee

Scott Manning

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

cf: Christopher B. Serak

Date signed *(month, day, year)*

July 18, 2023

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR SCOTT MANNING

July 18, 2023

I. RECITALS

WHEREAS, Scott Manning is Deputy Chief of Staff for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Scott Manning recently accepted employment with HDR Engineering, Inc. (“HDR”); and

WHEREAS, HDR has a business relationship with INDOT, including active contracts; and

WHEREAS, as Deputy Chief of Staff for INDOT, it is possible that Scott Manning could participate in matters in which HDR has an interest as part of his regular duties; and

WHEREAS, Scott Manning’s employment negotiations with HDR created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Scott Manning is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including HDR, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Scott Manning may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Scott Manning, Deputy Chief of Staff for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with HDR Engineering, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Scott Manning must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

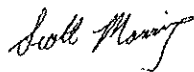
II. CONFLICT OF INTEREST SCREEN

1. Scott Manning shall not participate in any decision or vote, or any matter related to such decision or vote, in which HDR has a financial interest.
2. Scott Manning is screened from participating in any present or future contract or other matter involving HDR.
3. Scott Manning shall not assist any future employers, including HDR, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Scott Manning shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HDR Engineering, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HDR, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 18th day of July 2023, by:



Scott Manning, Deputy Chief of Staff
Indiana Department of Transportation

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed Post Employment COI Disclosure & Screen (Scott Manning)

From: Serak, Chris (INDOT)
Sent: Monday, July 17, 2023 11:49 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Shelby, Kate <KShelby@indot.IN.gov>; Manning, Scott <SManning1@indot.IN.gov>
Subject: Executed Post Employment COI Disclosure & Screen (Scott Manning)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Scott Manning. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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