

AUG 19 2021

FILED



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) MacNeill	Name (first) Michael	Name (middle)	
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Traffic Investigations Engineer	
Address of office (number and street) 41 West 300 North		City Crawfordsville	ZIP code 47933
Office telephone number (765) 361-5608	Office e-mail address (required) MMacneill@indot.IN.gov		

Describe the conflict of interest:

Michael MacNeill is a Traffic Investigations Engineer for the Indiana Department of Transportation (INDOT)- Crawfordsville District.

Michael MacNeill has entered employment negotiations with First Group Engineering, Inc. ("First Group").

First Group has a business relationship with INDOT, including active contracts with the Department.

Michael MacNeill's employment negotiations with First Group created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Michael MacNeill is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with respect to First Group.

Michael MacNeill is subject to the particular matter restriction and will not assist future employers, including First Group, with any matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Michael MacNeill's appointing authority, Commissioner Joe McGuinness, on August 19, 2021.

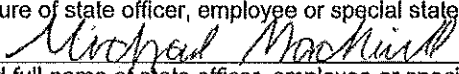
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
A formal screen was executed with INDOT's ethics officer preventing Mr. MacNeill from working with First Group in his capacity as an INDOT employee. Said screen prohibits Michael MacNeill from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving First Group or in which First Group has an interest. Michael MacNeill is further screened from assisting any future employer, including First Group Engineering, with a matter in which he personally and substantially participated in while employed by INDOT.
The screen was executed on August 19, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) August 19, 2021
Printed full name of state officer, employee or special state appointee Michael MacNeill	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer /s/ Christopher B. Serak	Date signed (month, day, year) August 19, 2021
Printed full name of ethics officer Christopher B. Serak	

CONFLICT OF INTEREST SCREEN FOR MICHAEL MACNEILL

August 18, 2021

I. RECITALS

WHEREAS, Michael MacNeill is a Traffic Investigations Engineer for the Indiana Department of Transportation-Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Michael MacNeill has accepted a position with First Group Engineering, Inc. ("First Group"); and

WHEREAS, First Group has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Traffic Investigations Engineer for INDOT, it is possible that Michael MacNeill could be assigned to work with First Group Engineering or to participate in matters in which the company has an interest as part of his regular duties; and

WHEREAS, Michael MacNeill's employment negotiations with First Group Engineering created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Michael MacNeill is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including First Group Engineering, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Michael MacNeill, a Traffic Investigations Engineer, for his remaining tenure with the Department, or until he discontinues employment negotiations with First Group Engineering, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Michael MacNeill must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.


II. CONFLICT OF INTEREST SCREEN

1. Michael MacNeill shall not participate in any decision or vote, or any matter related to such decision or vote, in which First Group Engineering has a financial interest.
2. Michael MacNeill should be screened from participating in any present or future contract or other matter involving First Group Engineering.
3. Michael MacNeill shall not assist any future employers, including First Group Engineering, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which First Group Engineering, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with First Group Engineering, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 19th day of August 2021, by:



Michael MacNeill, Traffic Investigations Engineer
Indiana Department of Transportation- Crawfordsville District

Cooper, Jennifer

From: Serak, Chris (INDOT)
Sent: Thursday, August 19, 2021 9:53 AM
To: Baker, Nathaniel P
Cc: Cooper, Jennifer; Kennedy, Heather; MacNeill, Michael
Subject: FW: Executed COI Disclosure & Screen (Michael MacNeill)
Attachments: Executed COI Screen for Michael MacNeill.pdf; Executed COI Disclosure for Michael MacNeill.pdf

Good Afternoon,

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure executed by INDOT employee Michael MacNeill. The same was provided to the employee's appointing authority, INDOT Commissioner Mr. Joe McGuinness. Said disclosure is included below as part of this email.

Thank you,

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*



From: Serak, Chris (INDOT)
Sent: Thursday, August 19, 2021 9:51 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; MacNeill, Michael <MMacneill@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Michael MacNeill)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Michael MacNeill. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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