



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION

APR 28 2022

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Kumar	Name (first) Anuradha	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Manager, Cultural Resource Office
Address of office (number and street) 100 N Senate Ave.		City Indianapolis
Office telephone number (317) 296-0799		ZIP code 46204
Office e-mail address (required) akumar@indot.in.gov		

Describe the conflict of interest:
 Anuradha Kumar is Manager of the Cultural Resource Office for the Indiana Department of Transportation.
 Anuradha Kumar recently entered employment negotiations with Parsons Corporation ("Parsons").
 Parsons has a business relationship with INDOT, including active contracts with the Department.
 Anuradha Kumar's employment negotiations with Parsons therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Anuradha Kumar is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to Parsons.
 Anuradha Kumar is subject to the particular matter restriction and will not assist future employers, including Parsons, with any matter she personally and substantially participated in on behalf of INDOT. As manager for the Cultural Resources Office, Anu's involvement in most INDOT projects has been ancillary and impersonal.
 This disclosure was provided to Anuradha Kumar's appointing authority, Commissioner Michael J. Smith, on April 19, 2022.
 Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Anuradha Kumar from working with Parsons in her capacity as an INDOT employee. Said screen prohibits Anuradha Kumar from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Parsons, or in which Parsons has an interest. Anuradha Kumar is further screened from assisting any future employer, including Parsons, with any matter in which she personally and substantially participated in while employed by INDOT.

The screen was executed on April 19, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee <i>Anuradha V. Kumar</i>	Date signed (month, day, year) April 19, 2022
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Printed full name of state officer, employee or special state appointee
Anuradha Kumar

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer <i>/s/ Christopher Serak</i>	Date signed (month, day, year) April 19, 2022
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Printed full name of ethics officer
Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR ANURADHA KUMAR

April 19, 2022

I. RECITALS

WHEREAS, Anuradha Kumar is Manager of the Cultural Resource Office for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Anuradha Kumar has entered employment negotiations with Parsons Corporation (“Parsons”); and

WHEREAS, Parsons has a business relationship with INDOT, including active contracts; and

WHEREAS, as Manager of the Cultural Resource Office for INDOT, it is possible that Anuradha Kumar could participate in matters in which Parsons has an interest as part of her regular duties; and

WHEREAS, Anuradha Kumar’s employment negotiations with Parsons created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Anuradha Kumar is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including Parsons, with any matter she personally and substantially participated in for INDOT; and

WHEREAS, Anuradha Kumar may have obtained confidential information in the course of her employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of herself or future employers.¹

NOW THEREFORE, Anuradha Kumar, Manager of the Cultural Resource Office for INDOT, for her remaining tenure with INDOT, or until she discontinues employment negotiations with Parsons Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Anuradha Kumar must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

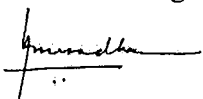
II. CONFLICT OF INTEREST SCREEN

1. Anuradha Kumar shall not participate in any decision or vote, or any matter related to such decision or vote, in which Parsons has a financial interest.
2. Anuradha Kumar is screened from participating in any present or future contract or other matter involving Parsons.
3. Anuradha Kumar shall not assist any future employers, including Parsons, with any matter she personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to her duty under Ind. Code §5-14-3-10, Anuradha Kumar shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Parsons Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Parsons, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 19th day of April 2022, by:



Anuradha Kumar, Manager- Cultural Resource Office
Indiana Department of Transportation

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Anu Kumar)

From: Serak, Chris (INDOT)
Sent: Thursday, April 28, 2022 9:36 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Creighton, Chris (INDOT) <CCreighton@indot.IN.gov>; Kumar, Anuradha <akumar@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Anu Kumar)

Good Morning Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Anuradha ("Anu") Kumar. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Risk Advisory Board (Member)
Indiana Department of Transportation
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Location: *IGCN, 100 N Senate, N658-PQ*