



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

DEC 14 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Kruse	Name (first) Jason	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Statewide Fleet Director
Address of office (number and street) 100 North Senate Ave.		City Indianapolis
Office telephone number (812) 216-1044		ZIP code 46204
Office e-mail address (required) jkruse@indot.IN.gov		

Describe the conflict of interest:
Jason Kruse is the Statewide Fleet Director for the Indiana Department of Transportation (INDOT).
Jason Kruse has accepted an employment position with MacAllister Machinery Company, Inc. ("MacAllister").
MacAllister has a business relationship with INDOT.
Jason Kruse's employment negotiations with MacAllister therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
Jason Kruse is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to MacAllister.
Jason Kruse is subject to the particular matter restriction and will not assist future employers, including MacAllister, with any matter he personally and substantially participated in on behalf of INDOT. This restriction does not apply to INDOT QPA 22-060, which Jason Kruse did not personally and substantially participate in as an INDOT employee.
This disclosure was provided to Jason Kruse's appointing authority, Commissioner Joe McGuinness, on December 14, 2021.
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Jason Kruse from working with MacAllister in his capacity as an INDOT employee. Said screen prohibits Jason Kruse from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving MacAllister, or in which MacAllister has an interest. Jason Kruse is further screened from assisting any future employer, including MacAllister, with a matter in which he personally and substantially participated in while employed by INDOT. This restriction expressly does not include INDOT QPA 22-060, which Mr. Kruse did not substantially participate in as an INDOT employee. The screen was executed on December 14, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed *(month, day, year)*

December 14, 2021

Printed full name of state officer, employee or special state appointee

Jason Kruse

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed *(month, day, year)*

December 14, 2021

/s/ Christopher B. Serak

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR JASON KRUSE

December 14, 2021

I. RECITALS

WHEREAS, Jason Kruse is Statewide Fleet Director for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Jason Kruse accepted a position with MacAllister Machinery Company, Inc. (“MacAllister”); and

WHEREAS, MacAllister has a business relationship with INDOT; and

WHEREAS, as Statewide Fleet Director for INDOT, it is possible that Jason Kruse could be assigned to work with MacAllister or to participate in matters in which the company has an interest as part of his regular duties; and

WHEREAS, Jason Kruse’s employment negotiations with MacAllister created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Jason Kruse is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including MacAllister Machinery Company, Inc., with any matter he personally and substantially participated in while employed by INDOT; and

WHEREAS, Jason Kruse had minimal, indirect, and tangential involvement in the award and administration of that certain procurement agreement with MacAllister referred to as INDOT QPA No. 22-060, the employee is not prohibited from representing MacAllister with regard to said matter in his future employment role with the company.

NOW THEREFORE, Jason Kruse, Statewide Fleet Director, for his remaining tenure with the Department, or until he discontinues employment negotiations with MacAllister Machinery Company, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Jason Kruse must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

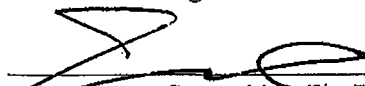
II. CONFLICT OF INTEREST SCREEN

1. Jason Kruse shall not participate in any decision or vote, or any matter related to such decision or vote, in which MacAllister Machinery Company, Inc. has a financial interest.
2. Jason Kruse should be screened from participating in any present or future contract or other matter involving MacAllister Machinery Company, Inc.
3. Jason Kruse shall not assist any future employers, including MacAllister Machinery Company, Inc., with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter. The particular matter restriction does not apply to INDOT QPA 22-060, as Jason Kruse did not have personal and substantial involvement in the matter as INDOT’s Statewide Fleet Director.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which MacAllister Machinery Company, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with MacAllister Machinery Company, Inc., whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of each subject matter (not applicable to INDOT QPA 22-060). I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 14th day of December 2021, by:



Jason Kruse, Statewide Fleet Director
Indiana Department of Transportation

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Jason Kruse)

From: Serak, Chris (INDOT)
Sent: Tuesday, December 14, 2021 2:46 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Kruse, Jason <JKruse@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Jason Kruse)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Jason Kruse. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*

