



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

MAY 18 2022

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Johnson-Yates	Name (first) Rachel	Name (middle)
Name of office or agency Divison of Mental Health and Addiction		Job title Deputy Director and Chief of Staff
Address of office (number and street) 402 W Washington ST.		City Indianapolis
		ZIP code 46204
Office telephone number ( 317 ) 760-5366	Office e-mail address (required) rachel.johnsonyates@gmail.com	

Describe the conflict of interest:  
I have applied for a position at Health Management Associates (HMA) and have received word that I may be selected to begin the interview process. I have not historically been involved in oversight of any HMA contracts, nor served as a lead on any of their projects in the division. At most, my involvement with them has been ancillary. However, I recently learned that HMA put a bid in on a DMHA RFP for a project I may lead. In order to maintain strong ethical parameters, I will plan to screen myself from the scoring process. If HMA is selected by the evaluation team, I will screen myself from any conversations, negotiations, etc. until the interview process is complete.

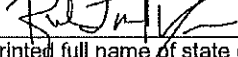
Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Employee's manager has implemented a screen that will prohibit the employee from participating in any vote, decision, or matters relating to any vote or decision, involving or related to HMA. Specifically, procurement matters and scoring of any HMA RFP will be reassigned to another employee. There are no other currently known HMA matters the employee is involved in, but if made known, employee will also be screened from these and any future issues where HMA has a financial interest. This screen will remain in place for the employee's remaining tenure with the State or until they discontinue employment negotiations with HMA, whichever occurs first.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

5/17/22

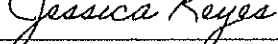
Printed full name of state officer, employee or special state appointee

Rachel Johnson-Yates

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

5/18/22

Printed full name of ethics officer

Jessica Reyes

**From:** [Keyes, Jessica K](mailto:Jessica.Keyes@fssa.IN.gov)  
**To:** [Keyes, Jessica K](mailto:Jessica.Keyes@fssa.IN.gov)  
**Subject:** FW: Conflicts of Interest Disclosure-Rachel Johnson-Yates  
**Date:** Wednesday, May 18, 2022 4:25:29 PM

---

**From:** Rusyniak, Daniel E (Dan) <[Daniel.Rusyniak@fssa.IN.gov](mailto:Daniel.Rusyniak@fssa.IN.gov)>  
**Sent:** Wednesday, May 18, 2022 2:25 PM  
**To:** Marshall, Cathrine (Cate) <[Cathrine.Marshall@fssa.IN.gov](mailto:Cathrine.Marshall@fssa.IN.gov)>  
**Subject:** RE: Conflicts of Interest Disclosure-Rachel Johnson-Yates

I have reviewed this.

---

**From:** Marshall, Cathrine (Cate) <[Cathrine.Marshall@fssa.IN.gov](mailto:Cathrine.Marshall@fssa.IN.gov)>  
**Sent:** Wednesday, May 18, 2022 2:00 PM  
**To:** Rusyniak, Daniel E (Dan) <[Daniel.Rusyniak@fssa.IN.gov](mailto:Daniel.Rusyniak@fssa.IN.gov)>  
**Subject:** FW: Conflicts of Interest Disclosure-Rachel Johnson-Yates

Review and acknowledge

Thank you,  
Cate Marshall  
Indiana FSSA  
Email: [Cathrine.Marshall@FSSA.IN.gov](mailto:Cathrine.Marshall@FSSA.IN.gov)  
*\*Please note, there is no g in the middle of Cathrine.*

**Statement of Confidentiality:** The information in this message is privileged and confidential and it is intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that you are prohibited from disseminating, distributing, or copying the information contained in this message. If you have received this message in error, please notify the sender and destroy all copies of the original message.

---

**From:** Keyes, Jessica K <[Jessica.Keyes@fssa.IN.gov](mailto:Jessica.Keyes@fssa.IN.gov)>  
**Sent:** Wednesday, May 18, 2022 9:34 AM  
**To:** Marshall, Cathrine (Cate) <[Cathrine.Marshall@fssa.IN.gov](mailto:Cathrine.Marshall@fssa.IN.gov)>  
**Subject:** Conflicts of Interest Disclosure-Rachel Johnson-Yates

Good morning, Cate,

Please find attached a conflicts of interest disclosure form for Dr. Rusyniak's review. Thank you!

Thank you,

Jessica Keyes | Managing Attorney and Ethics Officer |  
Indiana Family and Social Services Administration  
402 W. Washington Street, Rm. W451, Indianapolis, IN 46204 | T: 317-234-3884

Email: [Jessica.Keyes@fssa.IN.gov](mailto:Jessica.Keyes@fssa.IN.gov)



**\*\*Privileged and Confidential\*\***

This message and any attachment(s) are privileged and confidential and are protected by legal privileges, including the attorney-client privilege, attorney work product privilege, and/or deliberative process privilege. The information in this message is intended only for the named recipient(s). If you are not the intended recipient(s), you are hereby notified that any disclosure, copying, distribution, or any action taken or omitted in reliance on the contents of this information is prohibited. If you have received this message in error, please notify the sender and permanently delete all copies of this message and any attachments. Clients are advised not to disclose any confidential material; clients who disclose confidential material may be waiving his/her/their applicable legal privilege(s).