



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION

AUG 13 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Hollandsworth	Name (first) Timothy	Name (middle)	
Name of office or agency Indiana Department of Transportation- LaPorte District		Job title Project Manager- Capital Programs	
Address of office (number and street) 315 East Boyd Boulevard		City LaPorte	ZIP code 46350
Office telephone number (219) 325-7403	Office e-mail address (required) THollandsworth@indot.IN.gov		

Describe the conflict of interest:
 Timothy Hollandsworth is a Project Manager for the Indiana Department of Transportation (INDOT)- LaPorte District.
 Timothy Hollandsworth has accepted a position with VS Engineering, Inc. VS Engineering has a business relationship with INDOT, including active contracts with the Department.
 Timothy Hollandsworth's employment negotiations with VS Engineering created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Timothy Hollandsworth is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with respect to VS Engineering.
 Timothy Hollandsworth is subject to the particular matter restriction and will not assist future employers, including VS Engineering, with any matter he personally and substantially participated in on behalf of INDOT.
 This disclosure was provided to Timothy Hollandsworth's appointing authority, Commissioner Joe McGuinness, on August 13, 2021.
 Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Mr. Hollandsworth from working with VS Engineering in his capacity as an INDOT employee. Said screen prohibits Timothy Hollandsworth from under taking his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving VS Engineering, or in which VS Engineering has an interest. Timothy Hollandsworth is further screened from assisting any future employer, including VS Engineering, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on August 13, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Timothy Hollandsworth

Date signed (month, day, year)

August 13, 2021

Printed full name of state officer, employee or special state appointee

Timothy Hollandsworth

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Christopher B. Serak

Date signed (month, day, year)

August 13, 2021

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR TIMOTHY HOLLANDSWORTH

August 13, 2021

I. RECITALS

WHEREAS, Timothy Hollandsworth is a Capital Programs Project Manager (“Project Manager”) for the Indiana Department of Transportation- LaPorte District (“INDOT” or “Department”); and

WHEREAS, Timothy Hollandsworth has accepted a position with VS Engineering, Inc.; and

WHEREAS, VS Engineering has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Manager for INDOT, it is possible that Timothy Hollandsworth could be assigned to work with VS Engineering or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Timothy Hollandsworth’s employment negotiations with VS Engineering created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Timothy Hollandsworth is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including VS Engineering, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Timothy Hollandsworth, a Capital Programs Project Manager in the LaPorte District, for his remaining tenure with INDOT, or until he discontinues employment negotiations with VS Engineering, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Timothy Hollandsworth must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

II. CONFLICT OF INTEREST SCREEN

1. Timothy Hollandsworth shall not participate in any decision or vote, or any matter related to such decision or vote, in which VS Engineering has a financial interest.
2. Timothy Hollandsworth should be screened from participating in any present or future contract or other matter involving VS Engineering.
3. Timothy Hollandsworth shall not assist any future employers, including VS Engineering, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which VS Engineering Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with VS Engineering, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 13^h day of August 2021, by:

Timothy Hollandsworth

Timothy Hollandsworth, Capital Programs Project Manager
Indiana Department of Transportation- LaPorte District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Timothy Hollandsworth)

From: Serak, Chris (INDOT)
Sent: Friday, August 13, 2021 3:40 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Hollandsworth, Timothy <THollandsworth@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Timothy Hollandsworth)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Timothy Hollandsworth. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same. For the purpose of maintaining accurate internal records and to ensure future ethics compliance with the particular matter restriction, a list of projects from which Mr. Hollandsworth is prohibited from assisting future employers is forthcoming in the next week. The same will be shared with VS Engineering, at the request of the employee.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*

