



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**

State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

MAR 24 2022

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

|  |                       |  |                   |
|--|-----------------------|--|-------------------|
| Name (last)<br>Grass   | Name (first)<br>Jesse | Name (middle)  |                   |
| Name of office or agency<br>Indiana Department of Transportation |                       | Job title<br>Project Supervisor                          |                   |
| Address of office (number and street)<br>32 S Broadway St.       |                       | City<br>Greenfield                                       | ZIP code<br>46140 |
| Office telephone number<br>( 765 ) 745-1725                      |                       | Office e-mail address (required)<br>JeGrass@indot.IN.gov |                   |

Describe the conflict of interest:  
 Jesse Grass is a Project Supervisor for the Indiana Department of Transportation- Greenfield District (INDOT).  
 Jesse Grass recently accepted employment with Rieth-Riley Construction Company, Inc. ("Rieth-Riley").  
 Rieth-Riley has a business relationship with INDOT, including active contracts with the Department.  
 Jesse Grass' employment negotiations with Rieth-Riley therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.  
 Jesse Grass is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to Rieth-Riley.  
 Jesse Grass is subject to the particular matter restriction and will not assist future employers, including Rieth-Riley, with any matter he personally and substantially participated in on behalf of INDOT.  
 This disclosure was provided to Jesse Grass' appointing authority, Commissioner Michael J. Smith, on March 24, 2022.  
 Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).  
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer,

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Jesse Grass from working with Rieth-Riley in his capacity as an INDOT employee. Said screen prohibits Jesse Grass from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Rieth-Riley, or in which Rieth-Riley has an interest. Jesse Grass is further screened from assisting any future employer, including Rieth-Riley, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on March 24, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

#### AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

March 24, 2022

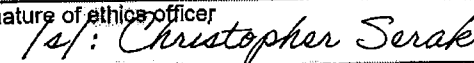
Printed full name of state officer, employee or special state appointee

Jesse Grass

#### FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

March 24, 2022

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR JESSE GRASS**

**March 24, 2022**

**I. RECITALS**

WHEREAS, Jesse Grass is a Project Supervisor for the Indiana Department of Transportation- Greenfield District ("INDOT" or "Department"); and

WHEREAS, Jesse Grass has entered employment negotiations with Rieth-Riley Construction Company, Inc. ("Rieth-Riley"); and

WHEREAS, Rieth-Riley has a business relationship with INDOT, including active contracts; and

WHEREAS, as a Project Supervisor for INDOT, it is possible that Jesse Grass could be assigned to work with Rieth-Riley or to participate in matters in which the company has an interest as part of his regular duties; and

WHEREAS, Jesse Grass's employment negotiations with Rieth-Riley created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Jesse Grass is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Rieth-Riley, with any matter he personally and substantially participated in while employed by INDOT; and

WHEREAS, Jesse Grass may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.<sup>1</sup>

NOW THEREFORE, Jesse Grass, a Project Supervisor for the INDOT Greenfield District, for his remaining tenure with the Department, or until he discontinues employment negotiations with Rieth-Riley Construction Company, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Jesse Grass must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

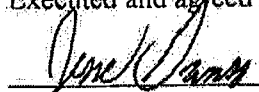
**II. CONFLICT OF INTEREST SCREEN**

1. Jesse Grass shall not participate in any decision or vote, or any matter related to such decision or vote, in which Rieth-Riley has a financial interest.
2. Jesse Grass is screened from participating in any present or future contract or other matter involving Rieth-Riley.
3. Jesse Grass shall not assist any future employers, including Rieth-Riley, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Jesse Grass shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Rieth-Riley Construction Company, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Rieth-Riley, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each subject matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 24<sup>th</sup> day of March 2022, by:



Jesse Grass, Project Supervisor

<sup>1</sup> State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.



**Baker, Nathaniel P**

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen- Jesse Grass

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**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, March 24, 2022 3:04 PM  
**To:** Smith, Michael J <[Michael.Smith@indot.IN.gov](mailto:Michael.Smith@indot.IN.gov)>  
**Cc:** Kennedy, Heather <[HKennedy@indot.IN.gov](mailto:HKennedy@indot.IN.gov)>; Grass, Jesse <[JeGrass@indot.IN.gov](mailto:JeGrass@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen- Jesse Grass

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Jesse Grass. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Director of Prequalification & Construction Compliance*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
*Risk Advisory Board (Member)*  
**Indiana Department of Transportation**  
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