



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

JUN 29 2022

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Garrison	Name (first) Kenneth ("Greg")	Name (middle)
Name of office or agency Indiana Department of Transportation- Greenfield District		Job title Survey R/W Real Estate Manager
Address of office (number and street) 32 S Broadway St		City Greenfield
		ZIP code 46140
Office telephone number (317) 467-3424	Office e-mail address (required) KGarrison@indot.IN.gov	

Describe the conflict of interest:
Greg Garrison is Survey R/W Real Estate Manager for the Indiana Department of Transportation- Greenfield District.
Greg Garrison recently entered employment negotiations with HNTB Corporation ("HNTB").
HNTB has a business relationship with INDOT, including active contracts with the Department.
Greg Garrison's employment negotiations with HNTB therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Greg Garrison is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to HNTB.
Greg Garrison is subject to the particular matter restriction and will not assist future employers, including HNTB, with any matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Greg Garrison's appointing authority, Commissioner Michael J. Smith, on June 29, 2022.
Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Formal screen was executed with INDOT's ethics officer preventing Greg Garrison from working with HNTB Corporation in his capacity as an INDOT employee. Said screen prohibits Greg Garrison from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HNTB, or in which HNTB has an interest. Greg Garrison is further screened from assisting any future employer, including HNTB, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on June 29, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Kenneth Garrison

Date signed *(month, day, year)*

June 29, 2022

Printed full name of state officer, employee or special state appointee

Kenneth ("Greg") Garrison

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

/s/ Christopher Serak

Date signed *(month, day, year)*

June 29, 2022

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR KENNETH ("GREG") GARRISON

June 29, 2022

I. RECITALS

WHEREAS, Kenneth ("Greg") Garrison is Survey R/W Real Estate Manager for the Indiana Department of Transportation- Greenfield District ("INDOT" or "Department"); and

WHEREAS, Greg Garrison has entered employment negotiations with HNTB Corporation ("HNTB"); and

WHEREAS, HNTB has a business relationship with INDOT, including active contracts; and

WHEREAS, as Survey R/W Real Estate Manager for INDOT- Greenfield, it is possible that Greg Garrison could participate in matters in which HNTB has an interest as part of his regular duties; and

WHEREAS, Greg Garrison's employment negotiations with HNTB created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Greg Garrison is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including HNTB, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Greg Garrison may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Greg Garrison, Survey R/W Real Estate Manager for INDOT- Greenfield District, for his remaining tenure with INDOT, or until he discontinues employment negotiations with HNTB Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Greg Garrison must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

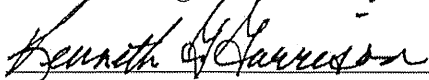
II. CONFLICT OF INTEREST SCREEN

1. Greg Garrison shall not participate in any decision or vote, or any matter related to such decision or vote, in which HNTB has a financial interest.
2. Greg Garrison is screened from participating in any present or future contract or other matter involving HNTB.
3. Greg Garrison shall not assist any future employers, including HNTB, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Greg Garrison shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HNTB Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HNTB, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 29th day of June 2022, by:



Kenneth ("Greg") Garrison, Survey R/W Real Estate Manager
Indiana Department of Transportation- Greenfield District

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

