



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION

MAR 22 2022

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Frederick	Name (first) Jared ("Kirk")	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Utility Administrator	
Address of office (number and street) 100 N. Senate Ave		City Indianapolis	ZIP code 46204
Office telephone number (765) 655-4455	Office e-mail address (required) jfrederick@indot.IN.gov		

Describe the conflict of interest:
 Jared ("Kirk") Frederick is a Utility Administrator for the Indiana Department of Transportation (INDOT).
 Kirk Frederick recently accepted employment with Parsons Transportation Group, Inc. ("Parsons").
 Parsons has a business relationship with INDOT, including active contracts with the Department.
 Kirk Frederick's employment negotiations with Parsons therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Kirk Frederick is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to Parsons.
 Kirk Frederick is subject to the particular matter restriction and will not assist future employers, including Parsons, with any matter he personally and substantially participated in on behalf of INDOT.
 This disclosure was provided to Kirk Frederick's appointing authority, Commissioner Michael J. Smith, on March 22, 2022.
 Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Jared ("Kirk") Frederick from working with Parsons in his capacity as an INDOT employee. Said screen prohibits Kirk Frederick from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Parsons, or in which Parsons has an interest. Kirk Frederick is further screened from assisting any future employer, including Parsons, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on March 22, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee <i>Jared Kirk Frederick</i>	Date signed (month, day, year) March 22, 2022
Printed full name of state officer, employee or special state appointee Jared ("Kirk") Frederick	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer <i>/s/ Christopher Serak</i>	Date signed (month, day, year) March 22, 2022
Printed full name of ethics officer Christopher B. Serak	

CONFLICT OF INTEREST SCREEN FOR JARED (“KIRK”) FREDERICK

March 22, 2022

I. RECITALS

WHEREAS, Jared (“Kirk”) Frederick (hereinafter “Kirk Frederick”) is a Utility Administrator for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Kirk Frederick has entered employment negotiations with Parsons Transportation Group, Inc. (“Parsons”); and

WHEREAS, Parsons has a business relationship with INDOT; and

WHEREAS, as a Utility Administrator for INDOT, it is possible that Kirk Frederick could be assigned to work with Parsons or to participate in matters in which the company has an interest as part of his regular duties; and

WHEREAS, Kirk Frederick’s employment negotiations with Parsons created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Kirk Frederick is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Parsons, with any matter he personally and substantially participated in while employed by INDOT; and

WHEREAS, Kirk Frederick may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Kirk Frederick, Utility Administrator for the INDOT, for his remaining tenure with the Department, or until he discontinues employment negotiations with Parsons Transportation Group, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Kirk Frederick must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.


II. CONFLICT OF INTEREST SCREEN

1. Kirk Frederick shall not participate in any decision or vote, or any matter related to such decision or vote, in which Parsons has a financial interest.
2. Kirk Frederick is screened from participating in any present or future contract or other matter involving Parsons.
3. Kirk Frederick shall not assist any future employers, including Parsons, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Kirk Frederick shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Parsons Transportation Group, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Parsons, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each subject matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 22nd day of March 2022, by:



Jared (“Kirk”) Frederick, Utility Administrator
Indiana Department of Transportation

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen- Jared "Kirk" Frederick

From: Serak, Chris (INDOT)
Sent: Tuesday, March 22, 2022 10:47 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Frederick, Jared (Kirk) <jfrederick@indot.IN.gov>; Kennedy, Heather <HKennedy@indot.IN.gov>
Subject: Executed COI Disclosure & Screen- Jared "Kirk" Frederick

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Kirk Frederick. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Risk Advisory Board (Member)
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