

INDIANA
STATE ETHICS COMMISSION



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

SEP 1 2022

FILED

<p>In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.</p>			
Name (<i>last</i>) Eckstein		Name (<i>first</i>) Courtney	
Name of office or agency Indiana Department of Homeland Security		Job title Radiological Emergency Preparedness Program Coordinator	
Address of office (<i>number and street</i>) 302 West Washington Street, Room E-241		City Indianapolis	ZIP code 46204
Office telephone number (317) 464-7043		Office e-mail address (<i>required</i>) ceckstein@dhs.in.gov	
<p>Describe the conflict of interest: I assist legal counsel in creating and facilitating the Radiological Ingestion Exposure Pathway Emergency Planning Zone agreement with Constellation Energy Generation LLC ("Constellation"). Constellation operates a commercial nuclear power facility that has an Ingestion Exposure Pathway Emergency Planning Zone partly within the boundaries of Indiana. IDHS is required to develop and update the State's Ingestion Exposure Pathway Radiological Emergency Preparedness Plan. IDHS is also required to exercise the plan. The State does not receive any federal or state funding to prepare the plan or conduct exercises. Under the agreement, Constellation provides a percentage of the necessary funding to IDHS to assist in the preparation, updates and implementation of the plan.</p> <p>A representative from Constellation reached out to me with an employment opportunity.</p>			

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

I notified my Constellation contact that I can no longer be involved with anything related to that entity during employment negotiations. Everything related to Constellation will now be handled by either Clayton Kinder, Chief Arson Investigator or Kristi Shule, Deputy General Counsel and Ethics Officer.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Courtney Edstein

Date signed (month, day, year)

08/31/2022

Printed full name of state officer, employee or special state appointee

Courtney Edstein

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Kristi Shule

Date signed (month, day, year)

9-1-22

Printed full name of ethics officer

Kristi Shule

Baker, Nathaniel P

From: Shute, Kristi
Sent: Thursday, September 1, 2022 9:17 AM
To: Thacker, Joel
Cc: Eckstein, Courtney
Subject: Conflict of Interest-Decisions and Voting Disclosure Statement-Courtney Eckstein
Attachments: Signed decisions and Voting disclosure-Eckstein, Courtney.pdf

Good Morning Director Thacker,

To be in compliance with IC 4-2-6-9, which is included below for your reference, an employee who identifies a potential conflict of interest must notify their appointing authority and either request an advisory opinion from the State Ethics Commission or file a disclosure statement. Please accept this email as official notification. A copy of the Disclosure Statement is included for your reference. The Disclosure Statement will be filed with the Inspector General's Office this morning and I anticipate we will receive a file-stamped copy shortly.

Please let me know if you have any questions or need additional information.

Sincerely,

Kristi Shute | Deputy General Counsel and Ethics Officer
Indiana Department of Homeland Security
302 W. Washington St., Room E208
Indianapolis, IN 46204
Phone: 317-967-4101
Email: kshute@dhs.in.gov

IC 4-2-6-9 Conflict of economic interests; commission advisory opinions; disclosure statement; written determinations

Sec. 9. (a) A state officer, an employee, or a special state appointee may not participate in any decision or vote, or matter relating to that decision or vote, if the state officer, employee, or special state appointee has knowledge that any of the following has a financial interest in the outcome of the matter:

- (1) The state officer, employee, or special state appointee.
- (2) A member of the immediate family of the state officer, employee, or special state appointee.
- (3) A business organization in which the state officer, employee, or special state appointee is serving as an officer, a director, a member, a trustee, a partner, or an employee.
- (4) Any person or organization with whom the state officer, employee, or special state appointee is negotiating or has an arrangement concerning prospective employment.

(b) A state officer, an employee, or a special state appointee who identifies a potential conflict of interest shall notify the person's appointing authority and ethics officer in writing and do either of the following:

- (1) Seek an advisory opinion from the commission by filing a written description detailing the nature and circumstances of the particular matter and making full disclosure of any related financial interest in the matter. The commission shall:
 - (A) with the approval of the appointing authority, assign the particular matter to another person and implement all necessary procedures to screen the state officer, employee, or special state appointee seeking an advisory opinion from involvement in the matter; or
 - (B) make a written determination that the interest is not so substantial that the commission considers it likely to affect the integrity of the services that the state expects from the state officer, employee, or special state appointee.

(2) File a written disclosure statement with the commission that:

- (A) details the conflict of interest;
- (B) describes and affirms the implementation of a screen established by the ethics officer;
- (C) is signed by both:
 - (i) the state officer, employee, or special state appointee who identifies the potential conflict of interest; and
 - (ii) the agency ethics officer;
- (D) includes a copy of the disclosure provided to the appointing authority; and

(E) is filed not later than seven (7) days after the conduct that gives rise to the conflict.

A written disclosure filed under this subdivision shall be posted on the inspector general's Internet web site.

(c) A written determination under subsection (b)(1)(B) constitutes conclusive proof that it is not a violation for the state officer, employee, or special state appointee who sought an advisory opinion under this section to participate in the particular matter. A written determination under subsection (b)(1)(B) shall be filed with the appointing authority.