



Eric J. Holcomb Governor Lindsay M. Weaver, MD, FACEP State Health Commissioner

December 2, 2024

Indiana State Ethics Commission Office of the Inspector General 315 West Ohio Street, Room 104 Indianapolis, IN 46202

RE: IC 4-2-6-11 Post-employment waiver

Indiana State Ethics Commission,

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INDIANA STATE ETHICS COMMISSION

As the Appointing Authority of the Indiana Department of Health, I am filing this waiver of the application of the Code of Ethics' post-employment restriction as it applies to Amber Denham in her post-employment with ViaQuest Hospice.

I understand that I must file and present this waiver to the State Ethics Commission at their next available meeting. I further understand that this waiver is not final until approved by the State Ethics Commission.

Α.	This waiver is provided pursuant to IC 4-2-6-11(g) and specifically waives the application of
	(Please indicate the specific restriction in 42 IAC 1-5-14 (IC 4-2-6-11) you are waiving):
	IC 4-2-6-11(b)(1): 365 day required "cooling off" period before serving as a lobbyist.
	IC 4-2-6-11(b)(2): 365 day required "cooling off" period before receiving compensation from an employer for whom the state employee or special state appointee was engaged in the negotiation or administration of a contract and was in a position to make a discretionary decision affecting the outcome of such negotiation or administration.
Х	IC 4-2-6-11(b)(3): 365 day required "cooling off" period before receiving compensation from an employer for which the former state employee or special state appointee made a directly applicable regulatory or licensing decision.

To promote, protect, and improve the health and safety of all Hoosiers.





- IC 4-2-6-11(c): Particular matter restriction prohibiting the former state employee or special state appointee from representing or assisting a person in a particular matter involving the state if the former state officer, employee, or special state appointee personally and substantially participated in the matter as a state worker. (*Please provide a brief description of the specific particular matter(s) to which this waiver applies below*):
- B. IC 4-2-6-11(g)(2) requires that an agency's appointing authority, when authorizing a waiver of the application of the post-employment restrictions in IC 4-2-6-11(b)-(c), also include specific information supporting such authorization. Please provide the requested information in the following five (5) sections to fulfill this requirement.
- 1. Please explain whether the employee's prior job duties involved substantial decision-making authority over policies, rules, or contracts:

Ms. Denham currently serves as a Public Health Nurse Surveyor in the Home and Community-Based Care Division at the Indiana Department of Health. This position does not involve decision-making authority over contracts. Ms. Denham was assigned to an area that included survey activity of ViaQuest Hospice (ViaQuest). In this role, she did have decision-making authority over survey activity and decided which federal and state tags were appropriate to cite during a July 2024 re-licensure and recertification survey. The resulting tags are considered in determining ViaQuest's eligibility for federal programs and enforcement activity by IDOH. However, Ms. Denham did not have final decision-making authority over tags or enforcement activity. Final decisions would be determined by the area supervisor, program director and division director supervising Ms. Denham. During the July 2024 survey, ViaQuest was tagged for issues involving documentation. Ms. Denham returned to ViaQuest in August 2024 and conducted a follow-up survey found that the issues had been corrected.

Ms. Denham contacted IDOH Ethics Officer, Erin Elam, on November 6, 2024, to speak about her current personal situation and the possibility of an employment waiver to pursue an open position at ViaQuest that fit her needs. She then spoke with her program director and division director. As a result, before beginning the interview process at ViaQuest Ms. Denham was screened out of all survey activities involving ViaQuest and instructed not to access IDOH documents or speak with other surveyors about ViaQuest.



- 2. Please describe the nature of the duties to be performed by the employee for the prospective employer:
 - The position Ms. Denham hopes to secure with ViaQuest would involve Quality Assurance and Performance Improvement (QAPI). The position will involve collecting and compiling patient information to track and trend outcomes for the patients. The finding will be reported the administrator for ViaQuest, who oversees the QAPI program.
- 3. Please explain whether the prospective employment is likely to involve substantial contact with the employee's former agency and the extent to which any such contact is likely to involve matters where the agency has the discretion to make decisions based on the work product of the employee:
 - Ms. Denham's proposed position with ViaQuest will not involve any contact with IDOH surveyors or involvement in survey activity at the agency. Ms. Denham understands that she would need to be screened out of any such activity by her prospective employer until the 365 day "cooling off" period would have expired. Additionally, if the employment waiver is approved IDOH will provide a formal written notification to Ms. Denham and ViaQuest that Ms. Denham must be screened out of contact with IDOH on all regulatory matters during the "cooling off" period.
- 4. Please explain whether the prospective employment may be beneficial to the state or the public, specifically stating how the intended employment is consistent with the public interest:
 - Ms. Denham has extensive experience with hospice, home health agencies, long term care facilities and compliance with state and federal regulations. Her work at ViaQuest will improve patient outcome, identifying areas that the agency can improve and developing education pertinent to the clinician process, giving patients a good, dignified death. This additional oversight at ViaQuest provides an overall benefit for the public by improving the services they provide to the community.
- 5. Please explain the extent of economic hardship to the employee if the request for a waiver is denied:





A denial would result in a substantial economic hardship. Ms. Denham has a child with special needs who requires frequent medical appointments and medication changes. The travel that her current position with IDOH requires has become unsustainable due to these challenges. She has frequently needed to take time off work and is in a position where she would have to begin doing so without pay. The position with ViaQuest is largely remote and offers her the flexibility to schedule appointments for her child without losing work hours or pay.

- C. Signatures
- 1. Appointing authority/state officer of agency

By signing below I authorize the waiver of the above-specified post-employment restrictions pursuant to IC 4-2-6-11(g)(1)(A). In addition, I acknowledge that this waiver is limited to an employee or special state appointee who obtains the waiver before engaging in the conduct that would give rise to a violation.

Lindsay Weaver, MD, FACEP

DATE

2. Ethics Officer of agency

By signing below I attest to the form of this waiver of the above-specified post-employment restrictions pursuant to IC 4-2-6-11(g)(1)(B).

Trin R. CLam

12/02/2024

Erin R. Elam

DATE

D. Approval by State Ethics Commission

FOR OFFICE USE ONLY

Approved by State Ethics Commission





FOR OFFICE USE ONLY

Approved by State Ethics Commission

Katherine Noel, Chair, State Ethics Commission

12-12-24

Date

Mail to:

Office of Inspector General 315 West Ohio Street, Room 104 Indianapolis, IN 46202 OR

Email scanned copy to: info@ig.in.gov

Upon receipt you will be contacted

FILED



ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST - DECISIONS AND VOTING SIGIS FORM 65860 (R/ 10-16)

State Form 65600 (R / 10-16)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

DEC 8 2024

indiana state ethics commission

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the inspector General's website.

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Name (last) Denham	Name (first) Amber		Name (mlddle)				
Name of office or agency Indiana Department of Health	Job title Public Health Nurse Surveyor						
Address of office (number and street)	City ZIP code						
2 N Merldian Street		Indianapoli	8	46204			
Olfice telephone number	Office e-mail address (required,)					
(317) 473-0325 adenham@health.in.gov							
Describe the conflict of interest:							
Ms. Denham currently serves as a Public Health Nurse Surveyor in the Home and Community-Based Care Division at							
IDOH. Ms. Denham is assigned to a survey area that included survey activity of ViaQuest Hopsice. As a result, she							
conducted a re-licensure and recertification surevy in July of 2024 and revisited Viaguest for a follow-up survey in August							
2024. On November 6, 2024 Ms. Denham contacted Ethics Officer, Erin Elam about the possibility of seeking employment							
at ViaQuest due to a personal family situation and the availability of a position that fit her needs at Viaguest. Ms. Flam							
Instructed her to speak to her supervisors about the matter to gage support for an post-employment waiver and a							
screening feasability.							
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Describe the screen established by your ethics officer; (Attach additional pages as needed.) After meeting with her direct supervisor and department director a screen was out in p	lace on November 20, 2024.
Ms. Denham was screened out of all survey activity involving ViaQuest and instructed	not to access IDOH documents
or speak with other surveyors regarding ViaQuest. On November 25, 2024 Ms. Denha	ım Interviewed with ViaQuest
and was offered employment	\$ 6.5 mm \$ 7.5 mm \$ 7
A Post-Employment Waiver has also been filed and will be heard by the State Ethics	Commission on December 12, 2024.
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AFFIRMATION	
Your signature below affirms that your disclosures on this form are true, complete, and knowledge and belief. In addition to this form, you have attached a copy of your written appointing authority and ethics officer.	n disclosure to your agency
Signature of state officer employee or special state appointee	Date signed (month, day, year) 12/03/2024
Printed full name of state officer, employee or special state appointee Amber Denham	,
FOR ETHICS OFFICER USE ONLY	
Your signature below affirms that you have reviewed this disclosure form and that it is to best of your knowledge and belief. You also attest that your agency has implemented	true, complete, and correct to the the screen described above.
Signature of ethics officer	Date signed (month, day, year) 12/03/2024
Printed full name of ethics officer Frin R. Flam	

From Tot

Elam, Ello R

Cci

Weaver, Undsay

Kent, Amy (IDOH); Ferguson, Jon

Subject

Ethics Disclosure regarding Conflict of Interest to be filed Tuesday, December 3, 2024 2:45:00 PM

Date: **Attachments:** 

Amber Denham Disclosure pdf

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Image003.png Image004.png

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Good afternoon, Dr. Weaver-

There is currently a Post-Employment Waiver for IDOH employee Amber Denham set to be heard by the State Ethics Commission on December 12th. Before Amber interviewed with the agency that the Post-Employment Waiver addresses, she contacted me, and a screen was put in place at that time to ensure she had no regulatory contact with that agency during the hiring process. The OIG has suggested that I file a disclosure regarding that screen and as part of the disclosure process, I must notify you that it will be filed. The disclosure in question is attached and will be filed today.

Erin Elam | Staff Attorney & Ethics Officer

Office of Legal Affairs

office: 317-234-8361 · fax: 317+234-6278

eelam@health.in.gov health.in.gov











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