



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**

State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

INDIANA  
STATE ETHICS COMMISSION

JUN 24 2022

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Craft		Name (first) Coty		Name (middle)	
Name of office or agency Indiana Department of Transportation- Greenfield District			Job title Utility & Railroad Coordinator		
Address of office (number and street) 32 S Broadway St			City Greenfield		ZIP code 46140
Office telephone number ( 765 ) 713-2467		Office e-mail address (required) ccraft@indot.IN.gov			
Describe the conflict of interest: Coty Craft is Utility & Railroad Coordinator for the Indiana Department of Transportation- Greenfield District.					
Coty Craft recently entered employment negotiations with Beam, Longest, and Neff, LLC ("BLN").					
BLN has a business relationship with INDOT, including active contracts with the Department.					
Coty Craft's employment negotiations with BLN therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.					
Coty Craft is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to BLN.					
Coty Craft is subject to the particular matter restriction and will not assist future employers, including BLN, with any matter he personally and substantially participated in on behalf of INDOT.					
This disclosure was provided to Coty Craft's appointing authority, Commissioner Michael J. Smith, on June 24, 2022.					
Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).					
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.					

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Formal screen was executed with INDOT's ethics officer preventing Coty Craft from working with Beam, Longest, and Neff in his capacity as an INDOT employee. Said screen prohibits Coty Craft from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving BLN, or in which BLN has an interest. Coty Craft is further screened from assisting any future employer, including BLN, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on June 24, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

*Coty Craft*

Date signed *(month, day, year)*

June 24, 2022

Printed full name of state officer, employee or special state appointee

Coty Craft

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

*Isf: Christopher Serak*

Date signed *(month, day, year)*

June 24, 2022

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR COTY CRAFT**

June 24, 2022

**I. RECITALS**

WHEREAS, Coty Craft is Utility & Railroad Coordinator for the Indiana Department of Transportation-Greenfield District ("INDOT" or "Department"); and

WHEREAS, Coty Craft has entered employment negotiations with Beam, Longest, and Neff, LLC ("BLN"); and

WHEREAS, BLN has a business relationship with INDOT, including active contracts; and

WHEREAS, as Utility & Railroad Coordinator for INDOT- Greenfield, it is possible that Coty Craft could participate in matters in which BLN has an interest as part of his regular duties; and

WHEREAS, Coty Craft's employment negotiations with BLN created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Coty Craft is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including BLN, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Coty Craft may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.<sup>1</sup>

NOW THEREFORE, Coty Craft, Utility & Railroad Coordinator for INDOT- Greenfield District, for his remaining tenure with INDOT, or until he discontinues employment negotiations with BLN, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Coty Craft must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

**II. CONFLICT OF INTEREST SCREEN**

1. Coty Craft shall not participate in any decision or vote, or any matter related to such decision or vote, in which BLN has a financial interest.
2. Coty Craft is screened from participating in any present or future contract or other matter involving BLN.
3. Coty Craft shall not assist any future employers, including BLN, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Coty Craft shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Beam, Longest, and Neff, LLC has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with BLN, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 24<sup>th</sup> day of June 2022, by:

  
\_\_\_\_\_  
Coty Craft, Utility & Railroad Coordinator  
Indiana Department of Transportation- Greenfield District

<sup>1</sup> State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

## Baker, Nathaniel P

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen (Coty Craft)

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**From:** Serak, Chris (INDOT)  
**Sent:** Friday, June 24, 2022 2:27 PM  
**To:** Smith, Michael J <[Michael.Smith@indot.IN.gov](mailto:Michael.Smith@indot.IN.gov)>  
**Cc:** Craft, Coty G <[CCraft@indot.IN.gov](mailto:CCraft@indot.IN.gov)>; Creighton, Chris (INDOT) <[CCreighton@indot.IN.gov](mailto:CCreighton@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen (Coty Craft)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Coty Craft. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

**Christopher B. Serak,**  
*Director of Prequalification & Construction Compliance*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
*Risk Advisory Board (Member)*  
**Indiana Department of Transportation**  
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