



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

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AUG 31 2023

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INDIANA STATE
ETHICS COMMISSION Office of Inspector General

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Brown	Name (first) Jered	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Project Supervisor	
Address of office (number and street) 3650 South U.S. Highway 41		City Vincennes	ZIP code 47591
Office telephone number (812) 840-0439	Office e-mail address (required) jebrown2@indot.in.gov		

Describe the conflict of interest:
Jered Brown is a Project Supervisor for the Indiana Department of Transportation- Vincennes District.

Jered Brown recently accepted employment with RQAW Corporation ("RQAW")

RQAW has a business relationship with INDOT, including active contracts with the Department.

Jered Brown's employment negotiations with RQAW therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Jered Brown has not worked with RQAW in the last two (2) years as an INDOT employee. Therefore he is not subject to the mandatory cooling off period (IC 4-2-6-11(b)).

Jered Brown is subject to the particular matter restriction and will not assist future employers, including RQAW, with any matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Mr. Brown's appointing authority, Commissioner Michael J. Smith, on August 31, 2023.

Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT ethics officer preventing Jered Brown from working with RQAW Corporation in his capacity as an INDOT employee. Said screen prohibits Jered Brown from exercising any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving RQAW, or in which RQAW has an interest. Jered Brown is further screened from assisting any future employer, including RQAW, with any matter in which he personally and substantially participated while employed by INDOT.

The screen was executed on August 31, 2023, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Jered Brown

Date signed (month, day, year)

August 31, 2023

Printed full name of state officer, employee or special state appointee

Jered Brown

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

cf: Christopher B. Serak

Date signed (month, day, year)

August 31, 2023

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR JERED BROWN

August 31, 2023

I. RECITALS

WHEREAS, Jered Brown is Project Supervisor for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Jered Brown recently accepted employment with RQAW Corporation (“RQAW”); and

WHEREAS, RQAW has a business relationship with INDOT, including active contracts; and

WHEREAS, as Project Supervisor for INDOT, it is possible that Jered Brown could be assigned to participate in matters in which RQAW has an interest as part of his regular duties; and

WHEREAS, Jered Brown’s employment negotiations with RQAW created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Jered Brown is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including RQAW, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Jered Brown may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Jered Brown, Project Supervisor for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with RQAW Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Jered Brown must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

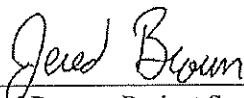
II. CONFLICT OF INTEREST SCREEN

1. Jered Brown shall not participate in any decision or vote, or any matter related to such decision or vote, in which RQAW has a financial interest.
2. Jered Brown is screened from participating in any present or future contract or other matter involving RQAW.
3. Jered Brown shall not assist any future employers, including RQAW, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Jered Brown shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which RQAW Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with RQAW, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 31st day of August 2023, by:



Jered Brown, Project Supervisor
Indiana Department of Transportation

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Jered Brown)

From: Serak, Chris (INDOT)
Sent: Thursday, August 31, 2023 2:58 PM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Shelby, Kate <KShelby@indot.IN.gov>; Brown, Jered <JeBrown2@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Jered Brown)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Jered Brown. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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