

INDIANA  
STATE ETHICS COMMISSION

JUL 29 2021



ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Brock	Name (first) Elizabeth	Name (middle)
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Project Supervisor
Address of office (number and street) 41 West 300 North		City Crawfordsville
		ZIP code 47933
Office telephone number ( 765 ) 918-1272	Office e-mail address (required) bebrock@indot.IN.gov	

Describe the conflict of interest:  
Elizabeth Brock is a Project Supervisor for the Indiana Department of Transportation (INDOT)- Crawfordsville District.  
Elizabeth Brock has entered employment negotiations with Parsons Corporation ("Parsons"). Parsons has a business relationship with INDOT, including active contracts with the Department.  
Elizabeth Brock's employment negotiations with Parsons created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.  
Elizabeth Brock is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) as an INDOT Project Supervisor.  
Elizabeth Brock is subject to the particular matter restriction and will not assist future employers, including Parsons, with any matter she personally and substantially participated in on behalf of INDOT.  
This disclosure was provided to Elizabeth Brock's appointing authority, Commissioner Joe McGuinness, on July 29, 2021.  
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).  
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

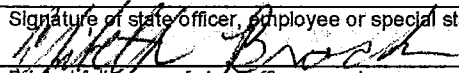
A formal screen was executed with INDOT's ethics officer preventing Ms. Brock from working with Parsons Corporation in her capacity as an INDOT employee. Said screen prohibits Elizabeth Brock from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Parsons, or in which Parsons has an interest. Elizabeth Brock is further screened from assisting any future employer, including Parsons Corporation, with a matter in which she personally and substantially participated in while employed by INDOT.

The screen was executed on July 29, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

July 29, 2021

Printed full name of state officer, employee or special state appointee

Elizabeth Brock

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

/s/ Christopher B. Serak

Date signed (month, day, year)

July 29, 2021

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR ELIZABETH BROCK**

July 29, 2021

**I. RECITALS**

WHEREAS, Elizabeth Brock is a Project Supervisor for the Indiana Department of Transportation-Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Elizabeth Brock has accepted a position with Parsons Corporation ("Parsons"); and

WHEREAS, Parsons has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Supervisor for INDOT, it is possible that Elizabeth Brock could be assigned to work with Parsons or to participate in matters involving the company as part of her regular duties; and

WHEREAS, Elizabeth Brock's employment negotiations with Parsons created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Elizabeth Brock is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Parsons, with any matter she personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Elizabeth Brock, a Project Supervisor, for his remaining tenure with the Department, or until she discontinues employment negotiations with Parsons Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Elizabeth Brock must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.


**II. CONFLICT OF INTEREST SCREEN**

1. Elizabeth Brock shall not participate in any decision or vote, or any matter related to such decision or vote, in which Parsons has a financial interest.
2. Elizabeth Brock should be screened from participating in any present or future contract or other matter involving Parsons.
3. Elizabeth Brock shall not assist any future employers, including Parsons, with any matter she personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Parsons Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Parsons, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 29<sup>th</sup> day of July 2021, by:

  
\_\_\_\_\_  
Elizabeth Brock, Project Supervisor  
Indiana Department of Transportation- Crawfordsville District

## **Baker, Nathaniel P**

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen (Elizabeth Brock)

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**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, July 29, 2021 10:14 AM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Kennedy, Heather <[HKennedy@indot.IN.gov](mailto:HKennedy@indot.IN.gov)>; Brock, Beth <[bebrock@indot.IN.gov](mailto:bebrock@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen (Elizabeth Brock)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Elizabeth Brock. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Prequalification Director*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
**Office:** (317) 234-2115  
**Cell:** (317) 440-9868  
**Email:** [chserak@indot.in.gov](mailto:chserak@indot.in.gov)  
**Location:** *IGCN, 100 N Senate, N758-PQ*

